

# Geometric Metastability, Quivers and Holography\*

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# Geometric Metastability, Quivers and Holography

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We use large  $N$  duality to study brane/anti-brane configurations on a class of Calabi-Yau manifolds. With only branes present, the Calabi-Yau manifolds in question give rise to  $\mathcal{N} = 2$  ADE quiver theories deformed by superpotential terms. We show that the large  $N$  duality conjecture of [1] reproduces correctly the known qualitative features of the brane/anti-brane physics. In the supersymmetric case, the gauge theories have Seiberg dualities, which are represented as flops in the geometry. Moreover, the holographic dual geometry encodes the whole RG flow of the gauge theory. In the non-supersymmetric case, the large  $N$  duality predicts that the brane/anti-brane theories also enjoy such dualities, and allows one to pick out the good description at a given energy scale.

## 1. Introduction

Geometric transitions have proven to be a powerful means of studying the dynamics of supersymmetric D-branes. String theory relates these transitions to large  $N$  dualities, where before the transition, at small 't Hooft coupling, one has D-branes wrapping cycles in the geometry, and after the transition, at large 't Hooft coupling, the system is represented by a different geometry, with branes replaced by fluxes. The AdS/CFT correspondence can be thought of in this way. Geometric transitions are particularly powerful when the D-branes in question wrap cycles in a Calabi-Yau manifold. Then, the topological string can be used to study the dual geometry exactly to all orders in the 't Hooft coupling. In [1] it was conjectured that topological strings and large  $N$  dualities can also be used to study non-supersymmetric, metastable configurations of branes in Calabi-Yau manifolds, that confine at low energies. This conjecture was considered in greater detail in [2,3]. String theory realizations of metastable, supersymmetry breaking vacua have appeared in [4-13]. The gauge theoretic mechanism of [14] has further been explored in string theory in [15-22].

In this paper we study D5 brane/anti-D5 brane systems in IIB on non-compact, Calabi-Yau manifolds that are ADE type ALE space fibrations over a plane. These generalize the case of the  $A_1$  ALE space studied in detail in [1,2,3]. The ALE space is fibered over the complex plane in such a way that at isolated points, the 2-cycles inherited from the ALE space have minimal area. These minimal 2-cycles are associated to positive roots of the corresponding ADE Lie algebra. Wrapping these with branes and anti-branes is equivalent to considering only branes, but allowing both positive and *negative* roots to appear, corresponding to two different orientations of the  $S^2$ 's. The system can be metastable since the branes wrap *isolated* minimal 2-cycles, and the cost in energy for the branes to move, due to the tensions of the branes, can overwhelm the Coulomb/gravitational attraction between them.

The geometries in question have geometric transitions in which the sizes of the minimal  $S^2$ 's go to zero, and the singularities are resolved instead by finite sized  $S^3$ 's. The conjecture of [1] is that at large  $N$ , the  $S^2$ 's disappear along with the branes and anti-branes and are replaced by  $S^3$ 's with positive and negative fluxes, the sign depending on the charge of the replaced branes. As in the supersymmetric case (see [23,24,25]), the dual gravity theory has  $\mathcal{N} = 2$  supersymmetry softly broken to  $\mathcal{N} = 1$  by the fluxes. The only difference is that now some of the fluxes are negative. On-shell, the positive and the negative fluxes

preserve different halves of the original supersymmetry, and with both present, the  $\mathcal{N} = 2$  supersymmetry is completely broken in the vacuum (see [26] for discussion of a similar supersymmetry breaking mechanism and its phenomenological features in the context of heterotic M-theory). The topological string computes not only the superpotential, but also the Kähler potential.<sup>1</sup> We show that the Calabi-Yau's with fluxes obtained in this way are indeed metastable, as expected by holography. In particular, for widely separated branes, the supersymmetry breaking can be made arbitrarily weak.<sup>2</sup> In fact, we can use the gravity dual to learn about the physics of branes and anti-branes. We find that at one-loop, the interaction between the branes depends on the topological data of the Calabi-Yau in a simple way. Namely, for every brane/anti-brane pair, so for every positive root  $e_+$  and negative root  $e_-$ , we find that the branes and the anti-branes attract if the inner product

$$e_+ \cdot e_-$$

is *positive*. They repel if it is negative, and do not interact at all if it is zero. In the  $A_k$  type ALE spaces, this result is already known from the direct open string computation [27,28], so this is a simple but nice test of the conjecture for these geometries. Moreover, we show that certain aspects of these systems are universal. We find that generically, just like in [2], metastability is lost when the 't Hooft coupling becomes sufficiently large. Moreover, once stability is lost, the system appears to roll down toward a vacuum in which domain walls interpolating between different values of the fluxes become light. We also present some special cases where the non-supersymmetric brane/anti-brane systems are exactly stable. In these cases, there are no supersymmetric vacua to which the system can decay.

When all the branes are D5 branes and supersymmetry is preserved, the low energy theory geometrically realizes [24,25] a 4d  $\mathcal{N} = 2$  supersymmetric quiver gauge theory with a superpotential for the world-volume adjoints which breaks  $\mathcal{N} = 2$  to  $\mathcal{N} = 1$ . These theories are known to have Seiberg-like dualities [29] in which the dual theories flow to the same IR fixed point, and where different descriptions are more weakly coupled, and hence

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<sup>1</sup> While the superpotential is exact, the Kähler potential is not. Corrections to the Kähler potential coming from warping, present when the Calabi-Yau is compact, have been investigated in [13].

<sup>2</sup> The natural measure of supersymmetry breaking in this case is the mass splitting between the bosons and their superpartners. For a compact Calabi-Yau, the scale of supersymmetry breaking is set by the mass of the gravitino, which is of the order of the cosmological constant. In our case, gravity is not dynamical, and the mass splittings of the dynamical fields are tunable [1].

preferred, at different energy scales. The Seiberg dualities are realized in the geometry in a beautiful way [25]. The ADE fibered Calabi-Yau geometries used to engineer the gauge theories have *intrinsic* ambiguities in how one resolves the singularities by blowing up  $S^2$ 's. The different possible resolutions are related by flops that shrink some 2-cycles, and blow up others. The flops act non-trivially on the brane charges, and hence on the ranks of the gauge groups. The flop of a 2-cycle  $S_{i_0}^2$  corresponds to a Weyl reflection about the corresponding root of the Lie algebra. On the simple roots  $e_i$ , this acts by

$$S_i^2 \rightarrow \tilde{S}_i^2 = S_i^2 - (e_i \cdot e_{i_0}) S_{i_0}^2.$$

Brane charge conservation then implies that the net brane charges transform satisfying

$$\sum_i N_i S_i^2 = \sum_i \tilde{N}_i \tilde{S}_i^2. \quad (1.1)$$

Moreover, from the dual gravity solution one can reconstruct the whole RG flow of the gauge theory. The sizes of the wrapped 2-cycles encode the gauge couplings, and one can read off how these vary over the geometry, and correspondingly, what is the weakly coupled description at a given scale. Near the  $S^3$ 's, close to where the branes were prior to the transition, corresponds to long distances in the gauge theory. There, the  $S^2$ 's have shrunk, corresponding to the fact that in the deep IR the gauge theories confine. As one goes to higher energies, the gauge couplings may simply become weaker, and the corresponding  $S^2$ 's larger, in which case the same theory will describe physics at all energy scales. Sometimes, however, some of the gauge couplings grow stronger, and the areas of the  $S^2$ 's eventually become negative. Then, to keep the couplings positive, the geometry must undergo flop transitions.<sup>3</sup> This rearranges the brane charges and corresponds to replacing the original description at low energies by a different one at high energies. Moreover, the flops of the  $S^2$ 's were found to coincide exactly with Seiberg dualities of the supersymmetric gauge theories.

In the non-supersymmetric case we do not have gauge theory predictions to guide us. However, the string theory still has intrinsic ambiguities in how the singularities are resolved. This is *exactly* the same as in the supersymmetric case, except that now not all

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<sup>3</sup> It is important, and one can verify this, that this happens in a completely *smooth* way in the geometry, as the gauge coupling going to infinity corresponds to zero Kähler volume of the 2-cycle, while the physical size of the 2-cycle is finite everywhere away from the  $S^3$ 's.

$N_i$ 's in (1.1) need be positive. Moreover, we can use holography to follow the varying sizes of 2-cycles over the geometry, and find that indeed in some cases they can undergo flops in going from the IR to the UV. When this happens, descriptions in terms of different brane/anti-brane configurations are more natural at different energy scales, and one can smoothly interpolate between them. This is to be contrasted with, say, the  $A_1$  case, where regardless of whether one considers just branes or branes and anti-branes, it is only one description that is ever really weakly coupled, and the fact that another exists is purely formal.

The paper is organized as follows. In section 2 we introduce the metastable D5 brane/anti-D5 brane configurations, focusing on  $A_k$  singularities, and review the conjecture of [1] applied to this setting. In section 3 we study in detail the  $A_2$  case with a quadratic superpotential. In section 4 we consider general ADE type geometries. In section 5 we discuss Seiberg-like dualities of these theories. In section 6 we study a very simple, exactly solvable case. In appendices A and B, we present the matrix model computation of the prepotential for  $A_2$  ALE space fibration, as well as the direct computation from the geometry. To our knowledge, these computations have not been done before, and the agreement provides a direct check of the Dijkgraaf-Vafa conjecture for these geometries. Moreover, our methods extend easily to the other  $A_n$  cases. In appendix C, we collect some formulas useful in studying the metastability of our solutions in section 3.

## 2. Quiver Branes and Anti-branes

Consider a Calabi-Yau which is an  $A_k$  type ALE space,

$$x^2 + y^2 + \prod_{i=1}^{k+1} (z - z_i(t)) = 0, \quad (2.1)$$

fibered over the  $t$  plane. Here,  $z_i(t)$  are polynomials in  $t$ . Viewed as a family of ALE spaces parameterized by  $t$ , there are  $k$  vanishing 2-cycles,

$$S_i^2, \quad i = 1, \dots, k \quad (2.2)$$

that deform the singularities of (2.1). In the fiber over each point  $t$  in the base, the 2-cycle in the class  $S_i^2$  has holomorphic area given by

$$\int_{S_{i,t}^2} \omega^{2,0} = z_i(t) - z_{i+1}(t). \quad (2.3)$$

where  $\omega^{2,0}$  is the reduction of the holomorphic three-form  $\Omega$  on the fiber. The only singularities are at points where  $x = y = 0$  and

$$z_i(t) = z_j(t), \quad i \neq j \quad (2.4)$$

for some  $i$  and  $j$ . At these points, the area of one of the 2-cycles inherited from the ALE space goes to zero.

These singularities can be smoothed out by blowing up the 2-cycles, i.e., by changing the Kähler structure of the Calabi-Yau to give them all non-vanishing area.<sup>4</sup> The homology classes of the vanishing cycles (2.4) then correspond to positive roots of the  $A_k$  Lie algebra (see e.g. [24]).<sup>5</sup> In this case, the  $k$  simple, positive roots  $e_i$  correspond to the generators of the second homology group. These are the classes of the  $S_i^2$  mentioned above which resolve the singularities where  $z_i(t) = z_{i+1}(t)$ . We denote the complexified Kähler areas of the simple roots by

$$r_i = \int_{S_i^2} k + iB^{NS},$$

where  $k$  is the Kähler form. In most of our applications, we'll take the real part of  $r_i$  to vanish. The string theory background is non-singular as long as the imaginary parts do not also vanish. They are positive, per definition, since we have taken the  $S_i^2$  to correspond to positive roots. In classical geometry, the  $r_i$  are independent of  $t$ . Quantum mechanically, in the presence of branes, one finds that they are not.

There are also positive, non-simple roots  $e_I = \sum_{i=j}^l e_i$ , for  $l > j$  where  $z_{l+1}(t) = z_j(t)$ . The 2-cycle that resolves the singularity is given by

$$S_I^2 = \sum_{i=j}^l S_i^2$$

in homology. Its complexified Kähler area is given as a sum of Kähler areas of simple roots

$$r_I = \sum_{i=j}^l r_i.$$

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<sup>4</sup> As we will review later, the blowup is not unique, as not all the Kähler areas of the cycles in (2.2) need to be positive for the space to be smooth. Instead, there are different possible blowups which differ by flops.

<sup>5</sup> The negative roots correspond to 2-cycles of the opposite orientation.

The total area  $A(t)$  of a 2-cycle  $S_I^2$  at a fixed  $t$  receives contributions from both Kähler and holomorphic areas:

$$A_I(t) = \sqrt{|r_I|^2 + |W'_I(t)|^2}. \quad (2.5)$$

The functions  $W'_I$  capture the holomorphic volumes of 2-cycles, and are related to the geometry by

$$W_I(t) = \sum_{i=j}^k W_i(t),$$

$$W_i(t) = \int (z_i(t) - z_{i+1}(t)) dt. \quad (2.6)$$

These will reappear as superpotentials in matrix models which govern the open and closed topological string theory on these geometries.

For each positive root  $I$  there may be more than one solution to (2.4). We will label these with an additional index  $p$  when denoting the corresponding 2-cycles,  $S_{I,p}^2$ . For each solution there is an isolated, minimal area  $S^2$ , but they are all in the same homology class, labeled by the root. They have minimal area because (2.5) is minimized at those points in the  $t$  plane where  $W'_I(t)$  vanishes. These, in turn, correspond to solutions of (2.4).

We will consider wrapping branes in the homology class

$$\sum_{I,p} M_{I,p} S_{I,p}^2,$$

with  $I$  running over *all* positive roots, and  $p$  over the corresponding critical points. We get branes or anti-branes on  $S_{I,p}^2$  depending on whether the charge  $M_{I,p}$  is positive or negative.<sup>6</sup> We will study what happens when we wrap branes on some of the minimal  $S^2$ 's and anti-branes on others.

The brane/anti-brane system is not supersymmetric. If we had branes wrapping all of the  $S^2$ 's, they would have each preserved the same half of the original  $\mathcal{N} = 2$  supersymmetry. However, with some of the branes replaced by anti-branes, some stacks preserve the opposite half of the original supersymmetry, and so globally, supersymmetry is completely broken. The system can still be metastable. As in flat space, there can be attractive Coulomb/gravitational forces between the branes and the anti-branes. For them to annihilate, however, they have to leave the minimal 2-cycles that they wrap. In

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<sup>6</sup> We could have instead declared all the  $M_{I,p}$  to be positive, and summed instead over positive and negative roots.



doing so, the area of the wrapped 2-cycle increases, as can be seen from (2.5), and this costs energy due to the tension of the branes. At sufficiently weak coupling, the Coulomb and gravitational interactions should be negligible compared to the tension forces – the former are a one-loop effect in the open string theory, while the latter are present already at tree-level – so the system should indeed be metastable. For this to be possible, it is crucial that the parameters of the background, *i.e.* the Kähler moduli  $r_i$  and the complex structure moduli that enter into the  $W_i(t)$ , are all non-normalizable, and so can be tuned at will.

While this theory is hard to study directly in the open string language, it was conjectured in [1] to have a holographic dual which gives an excellent description when the number of branes is large.

### 2.1. Supersymmetric Large $N$ Duality

Here we review the case where only branes are wrapped on the minimal  $S^2$ 's, and so supersymmetry is preserved. Denoting the net brane charge in the class  $S_i^2$  by  $N_i$ , this geometrically engineers an  $\mathcal{N} = 2$  supersymmetric  $\prod_{i=1}^k U(N_i)$  quiver gauge theory in four dimensions, deformed to  $\mathcal{N} = 1$  by the presence of a superpotential. The corresponding quiver diagram is the same as the Dynkin diagram of the  $A_k$  Lie algebra. The  $k$  nodes correspond to the  $k$  gauge groups, and the links between them to bifundamental hypermultiplets coming from the lowest lying string modes at the intersections of the  $S^2$ 's in the ALE space. The superpotential for the adjoint valued chiral field  $\Phi_i$ , which breaks the supersymmetry to  $\mathcal{N} = 1$ , is

$$W_i(\Phi_i), \quad i = 1, \dots, k$$

where  $W_i(t)$  is given in (2.6). The chiral field  $\Phi_i$  describes the position of the branes on the  $t$  plane. As shown in [24], the gauge theory has many supersymmetric vacua, corresponding to all possible ways of distributing the branes on the  $S^2$ 's,

$$\sum_{i=1}^k N_i S_i^2 = \sum_{I,p} M_{p,I} S_I^2,$$

where  $I$  labels the positive roots and  $p$  the critical points associated with a given root. This breaks the gauge symmetry as

$$\prod_i U(N_i) \rightarrow \prod_{p,I} U(M_{p,I}). \quad (2.7)$$

At low energies the branes are isolated and the theory is a pure  $\mathcal{N} = 1$  gauge theory with gauge group (2.7). The  $SU(M_{I,p})$  subgroups of the  $U(M_{I,p})$  gauge groups experience confinement and gaugino condensation.

This theory has a holographic, large  $N$  dual where branes are replaced by fluxes. The large  $N$  duality is a geometric transition which replaces (2.1) with a dual geometry

$$x^2 + y^2 + \prod_{i=1}^{k+1} (z - z_i(t)) = f_{r-1}(t)z^{k-1} + f_{2r-1}(t)z^{k-2} + \dots + f_{kr-1}(t), \quad (2.8)$$

where  $f_n(t)$  are polynomials of degree  $n$ , with  $r$  being the highest of the degrees of  $z_i(t)$ . The geometric transition replaces each of the  $S^2_{I,p}$ 's by a three-sphere, which will be denoted  $\mathcal{A}_{I,p}$ , with  $M_{I,p}$  units of Ramond-Ramond flux through it,

$$\int_{\mathcal{A}_{I,p}} H^{RR} + \tau H^{NS} = M_{I,p}.$$

In addition, there is flux through the non-compact dual cycles  $\mathcal{B}_{I,p}$ ,

$$\int_{\mathcal{B}_{I,p}} H^{RR} + \tau H^{NS} = -\alpha_I,$$

where  $\tau$  is the IIB axion-dilaton  $\tau = a + \frac{i}{g_s}$ . These cycles arise by fibering  $S^2_{I,p}$  over the  $t$  plane, with the 2-cycles vanishing at the branch cuts where the  $S^3$ 's open up. The nonzero  $H$  flux through the  $B$ -type cycles means that

$$\int_{S^2_{I,p}} B^{RR} + \tau B^{NS}$$

varies over the  $t$  plane. In the gauge theory, this combination determines the complexified gauge coupling. Since

$$\frac{4\pi}{g_i^2} = \frac{1}{g_s} \int_{S_i^2} B^{NS}, \quad \frac{\theta_i}{2\pi} = \int_{S_i^2} B^{RR} + a B^{NS},$$

one naturally identifies  $\alpha_i$  with the gauge coupling of the  $U(N_i)$ ,  $\mathcal{N} = 2$  theory at a high scale<sup>7</sup>

$$\alpha_i = -\frac{\theta_i}{2\pi} - \frac{4\pi i}{g_i^2}.$$

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<sup>7</sup> For the large  $N$  dual to be an honest Calabi-Yau, as opposed to a generalized one, we will work with  $\int_{S_i^2} k = 0$ .

For each positive root  $I$ , we then define  $\alpha_I$  as

$$\alpha_I = \sum_{i=j}^k \alpha_i$$

Turning on fluxes gives rise to an effective superpotential [30]

$$\mathcal{W}_{\text{eff}} = \int_{CY} (H^{RR} + \tau H^{NS}) \wedge \Omega.$$

Using the special geometry relations

$$\int_{A_{I,p}} \Omega = S_{I,p}, \quad \int_{B_{I,p}} \Omega = \partial_{S_{I,p}} \mathcal{F}_0,$$

the effective superpotential can be written as

$$\mathcal{W}_{\text{eff}} = \sum_{I,p} \alpha_I S_{I,p} + M_{I,p} \partial_{S_{I,p}} \mathcal{F}_0. \quad (2.9)$$

Here,  $S_{I,p}$  gets identified with the value of the gaugino bilinear of the  $U(M_{I,p})$  gauge group factor on the open string side. The effective superpotential (2.9) can be computed directly in the gauge theory. Alternatively, it can be shown [31,32] that the relevant computation reduces to computing planar diagrams in a gauged matrix model given by the zero-dimensional path integral

$$\frac{1}{\prod_{i=1}^k \text{vol } U(N_i)} \int \prod_{i=1}^k d\Phi_i dQ_{i,i+1} dQ_{i+1,i} \exp\left(-\frac{1}{g_s} \text{Tr} \mathcal{W}(\Phi, Q)\right)$$

where

$$\text{Tr } \mathcal{W}(\Phi, Q) = \sum_{i=1}^r \text{Tr } W(\Phi_i) + \text{Tr} (Q_{i+1,i} \Phi_i Q_{i,i+1} - Q_{i,i+1} \Phi_{i+1} Q_{i+1,i}).$$

The critical points of the matrix model superpotential correspond to the supersymmetric vacua of the gauge theory. The prepotential  $\mathcal{F}_0(S_{I,p})$  that enters the superpotential (2.9) is the planar free energy of the matrix model [31,33,34,35], expanded about a critical point where the gauge group is broken as in (2.7). More precisely, we have

$$2\pi i \mathcal{F}_0(S) = \mathcal{F}_0^{np}(S) + \sum_{\{h_a\}} \mathcal{F}_{0,\{h_a\}} \prod_a S_a^{h_a}$$

where  $\mathcal{F}_{0,\{h_a\}} \prod_a (M_a g_s)^{h_a}$  is the contribution to the planar free energy coming from diagrams with  $h_a$  boundaries carrying the index of the  $U(M_a)$  factor of the unbroken gauge group. Here  $a$  represents a pair of indices,

$$a = (I, p),$$

and we've denoted  $S_a = M_a g_s$ . The “non-perturbative” contribution,  $\mathcal{F}_0^{np}(S)$ , to the matrix model amplitude comes from the volume of the gauge group (2.7) that is *unbroken* in the vacuum at hand [33,35], and is the prepotential of the leading order conifold singularity corresponding to the shrinking  $S^3$ , which is universal. We will explain how to compute the matrix integrals in appendix A. The supersymmetric vacua of the theory are then given by the critical points of the superpotential  $\mathcal{W}_{\text{eff}}$ ,

$$\partial_{S_a} \mathcal{W}_{\text{eff}} = 0.$$

## 2.2. Non-Supersymmetric Large $N$ Duality

Now consider replacing some of the branes with anti-branes while keeping the background fixed. The charge of the branes, as measured at infinity, is computed by the RR flux through the  $S^3$  that surrounds the branes. In the large  $N$  dual geometry, the  $S^3$  surrounding the wrapped  $S^2_{I,p}$  is just the cycle  $\mathcal{A}_{I,p}$ . Replacing the branes with anti-branes on some of the  $S^2$ 's then has the effect of changing the signs of the corresponding  $M_{I,p}$ 's. Moreover, supersymmetry is now broken, so the vacua of the theory will appear as critical points of the physical potential

$$V = G^{S_a \bar{S}_b} \partial_{S_a} \mathcal{W}_{\text{eff}} \overline{\partial_{S_b} \mathcal{W}_{\text{eff}}} + V_0. \quad (2.10)$$

The superpotential  $\mathcal{W}_{\text{eff}}$  is still given by (2.9), and  $G$  is the Kähler metric of the  $\mathcal{N} = 2$  theory,

$$G_{a\bar{b}} = \text{Im}(\tau)_{a\bar{b}}$$

where

$$\tau_{ab} = \partial_{S_a} \partial_{S_b} \mathcal{F}_0$$

and  $a, b$  stand for pairs of indices  $(I, p)$ . In the absence of gravity, we are free to add a constant,  $V_0$ , to the potential,<sup>8</sup> which we'll take to be

$$V_0 = \sum_{I,p} \frac{M_{I,p}}{g_I^2}. \quad (2.11)$$

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<sup>8</sup> This simply adds a constant to the Lagrangian, having nothing to do with supersymmetry, or its breaking.

*A priori*,  $V_0$  can be either positive or negative, depending on the charges. However, we'll see that in all the vacua where the theory is weakly coupled, the leading contribution to the effective potential at the critical point will turn out to be just the tensions of all the branes, which is strictly positive.

### 3. A Simple Example

We now specialize to an  $A_2$  quiver theory with quadratic superpotential. The geometry which engineers this theory is given by (2.1), with

$$z_1(t) = -m_1(t - a_1), \quad z_2(t) = 0, \quad z_3(t) = m_2(t - a_2).$$

There are three singular critical points (2.4) (assuming generic  $m_i$ ) corresponding to

$$t = a_i, \quad i = 1, 2, 3$$

where  $a_3 = (m_1 a_1 + m_2 a_2)/(m_1 + m_2)$ . Blowing up to recover a smooth Calabi-Yau, the singular points are replaced by three positive area  $S^2$ 's,

$$S_1^2, S_2^2, S_3^2$$

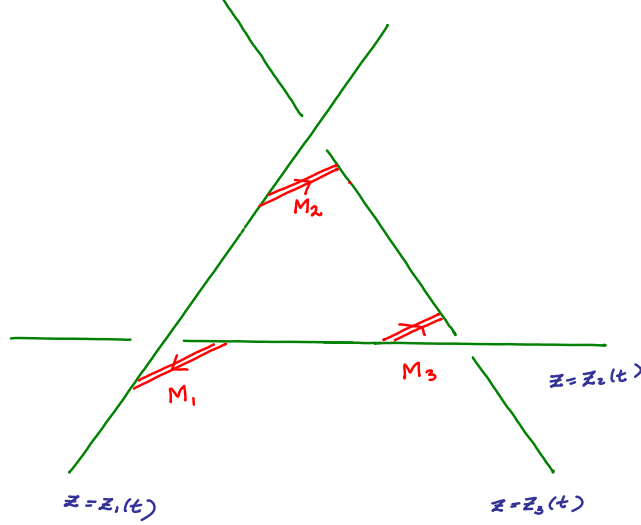
with one homological relation among them,

$$S_3^2 = S_1^2 + S_2^2. \tag{3.1}$$

$S_{1,2}^2$  then correspond to the two simple roots of the  $A_2$  Lie algebra,  $e_{1,2}$ , and  $S_3^2$  is the one non-simple positive root,  $e_1 + e_2$ . Now consider wrapping branes on the three minimal 2-cycles so that the total wrapped cycle  $C$  is given by

$$C = M_1 S_1^2 + M_2 S_2^2 + M_3 S_3^2.$$

If some, but not all, of the  $M_I$  are negative, supersymmetry is broken. As was explained in the previous section, as long as the branes are widely separated, this system should be perturbatively stable.



**Fig. 1.** The figure corresponds to the  $A_2$  singularity in the  $z$ - $t$  plane with quadratic “superpotential”. There are three conifold singularities at  $z_i = z_j$  which can be blown up by three  $S^2$ ’s, spanning two homology classes. Wrapping  $M_1$  anti-D5 branes on  $S^2_1$  and  $M_{2,3}$  D5 branes on  $S^2_{2,3}$ , we can engineer a metastable vacuum. The orientations of the branes are indicated by arrows.

Non-perturbatively, we expect the branes to be able to tunnel to a lower energy state. The minimum energy configuration that this system can achieve depends on the net brane charges in the homology classes  $S^2_1$  and  $S^2_2$ , given by  $N_1 = M_1 + M_3$  and  $N_2 = M_2 + M_3$ . When  $N_1$  and  $N_2$  have the same sign, the system can tunnel to a supersymmetric vacuum with new charges

$$M_I \rightarrow M'_I$$

where all the  $M'_I$  share the same sign, and the net charges  $N_1 = M'_1 + M'_3$  and  $N_2 = M'_2 + M'_3$  are unchanged. All the supersymmetric vacua are degenerate in energy, but for the metastable, non-supersymmetric vacua, the decay rates will depend on the  $M'_I$ . Alternatively, if one of the  $N_{1,2}$  is positive and the other is negative, the lowest energy configuration is necessarily not supersymmetric. In this way we get a stable, non-supersymmetric state which has nowhere to which it can decay.

In the remainder of this section, we will study these systems using the large  $N$  dual geometry with fluxes.

### 3.1. The Large $N$ Dual

The large  $N$  dual geometry in this case is given by

$$x^2 + y^2 + z(z - m_1(t - a_1))(z + m_2(t - a_2)) = cz + dt + e. \quad (3.2)$$

The three  $S^2$ 's at the critical points have been replaced by three  $S^3$ 's,  $\mathcal{A}_I$ , whose sizes are related to the coefficients  $c, d, e$  above. There are also three non-compact, dual 3-cycles  $\mathcal{B}_I$ . The geometry of the Calabi-Yau is closely related to the geometry of the Riemann surface obtained by setting  $x = y = 0$  in (2.8). The Riemann surface can be viewed as a triple cover of the  $t$  plane, by writing (3.2) as

$$0 = (z - z'_1(t))(z - z'_2(t))(z - z'_3(t))$$

where  $z'_i(t)$  correspond to the  $z_i(t)$  which are deformed in going from (2.1) to (3.2). In particular, the holomorphic three-form  $\Omega$  of the Calabi-Yau manifold descends to a 1-form on the Riemann surface, as can be seen by writing

$$\Omega = \omega^{2,0} \wedge dt$$

and integrating  $\omega^{2,0}$  over the  $S^2$  fibers, as in (2.3). The  $A$  and  $B$  cycles then project to 1-cycles on the Riemann surface. The three sheets are glued together over branch cuts which open up at  $t = a_I$ . We have

$$S_I = \frac{1}{2\pi i} \int_{a_I^-}^{a_I^+} (z'_J(t) - z'_K(t)) dt, \quad \partial_{S_I} \mathcal{F}_0 = \frac{1}{2\pi i} \int_{a_I^+}^{\Lambda_0} (z'_J(t) - z'_K(t)) dt$$

for cyclic permutations of distinct  $I, J$  and  $K$ . This allows one to compute the prepotential  $\mathcal{F}_0$  by direct integration (see appendix B). Alternatively, by the conjecture of [33], the same prepotential can be computed from the corresponding matrix model. The gauge fixing of the matrix model is somewhat involved, and we have relegated it to appendix A, but the end result is very simple. The field content consists of:

- a. Three sets of adjoints  $\Phi_{ii}$  of  $U(M_i)$ , which describe the fluctuations of the branes around the three  $S^2$ 's.
- b. A pair of bifundamental matter fields  $Q_{12}, \tilde{Q}_{21}$ , coming from the 12 strings.
- c. Anti-commuting bosonic ghosts,  $B_{13}, C_{31}$  and  $B_{32}, C_{23}$ , representing the 23 and 31 strings.

Note that physical bifundamental matter from  $S^2$ 's with positive intersection corresponds to commuting bosonic bifundamentals in the matrix model, whereas  $W$  bosons between  $S^2$ 's with negative intersection in the physical theory correspond to bosonic ghosts, similarly to what happened in [35].

The effective superpotential for these fields is

$$\begin{aligned}\mathcal{W}_{\text{eff}} = & \frac{1}{2}m_1 \text{Tr}\Phi_{11}^2 + \frac{1}{2}m_2 \text{Tr}\Phi_{22}^2 + \frac{1}{2}m_3 \text{Tr}\Phi_{33}^2 \\ & + a_{12} \text{Tr}Q_{12}\tilde{Q}_{21} + a_{23} \text{Tr}B_{32}C_{23} + a_{31} \text{Tr}B_{13}C_{31} \\ & + \text{Tr}(B_{32}\Phi_{22}C_{23} - C_{23}\Phi_{33}B_{32}) + \text{Tr}(B_{13}\Phi_{33}C_{31} - C_{31}\Phi_{11}B_{13}) \\ & + \text{Tr}(\tilde{Q}_{21}\Phi_{11}Q_{12} - Q_{12}\Phi_{22}\tilde{Q}_{21})\end{aligned}$$

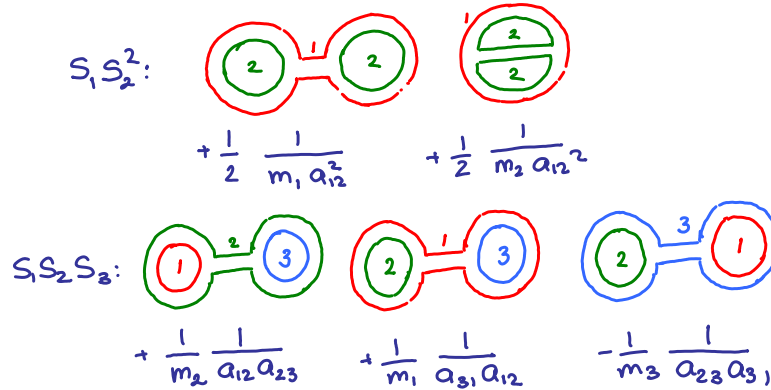
where  $a_{ij} = a_i - a_j$ . From this we can read off the propagators

$$\langle \Phi_{ii}\Phi_{ii} \rangle = \frac{1}{m_i}, \quad \langle Q_{12}\tilde{Q}_{21} \rangle = \frac{1}{a_{12}}$$

and

$$\langle B_{23}C_{32} \rangle = -\frac{1}{a_{23}}, \quad \langle B_{31}C_{13} \rangle = -\frac{1}{a_{31}},$$

as well as the vertices.



**Fig. 2.** Some of the two-loop Feynman graphs of the matrix model path integral, which are computing the prepotential  $\mathcal{F}_0$ . The path integral is expanded about a vacuum corresponding to distributing branes on the three nodes. Here, the boundaries on node one are colored red, on node two are green and on node three are blue.

Keeping only those contractions of color indices that correspond to planar diagrams, and carefully keeping track of the signs associated with fermion loops, we find:

$$\begin{aligned}2\pi i\mathcal{F}_0(S_i) = & \frac{1}{2}S_1^2\left(\log\left(\frac{S_1}{m_1\Lambda_0^2}\right) - \frac{3}{2}\right) + \frac{1}{2}S_2^2\left(\log\left(\frac{S_2}{m_2\Lambda_0^2}\right) - \frac{3}{2}\right) + \frac{1}{2}S_3^2\left(\log\left(\frac{S_3}{m_3\Lambda_0^2}\right) - \frac{3}{2}\right) \\ & - \log\left(\frac{a_{12}}{\Lambda_0}\right)S_1S_2 + \log\left(\frac{a_{31}}{\Lambda_0}\right)S_1S_3 + \log\left(\frac{a_{23}}{\Lambda_0}\right)S_2S_3 \\ & + \frac{1}{2\Delta^3}(S_1^2S_2 + S_2^2S_1 + S_3^2S_1 + S_3^2S_2 - S_1^2S_3 - S_2^2S_3 - 6S_1S_2S_3) + \dots\end{aligned}$$



where

$$\Delta^3 = \frac{m_1 m_2}{m_3} a_{12}^2, \quad m_3 = m_1 + m_2. \quad (3.3)$$

The terms quadratic in the  $S_i$ 's correspond to one-loop terms in the matrix model, the cubic terms to two-loop terms, and so on. The fact that the matrix model result agrees with the direct computation from the geometry is a nice direct check of the Dijkgraaf-Vafa conjecture for quiver theories. The large  $N$  limit of quiver matrix models was previously studied using large  $N$  saddle point techniques in [24,25,36,37].

Consider now the critical points of the potential (2.10),

$$\partial_{S_I} V = 0.$$

The full potential is very complicated, but at weak 't Hooft coupling (we will show this is consistent *a posteriori*) it should be sufficient to keep only the leading terms in the expansion of  $\mathcal{F}_0$  in powers of  $S/\Delta^3$ . These correspond to keeping only the one-loop terms in the matrix model. In this approximation, the physical vacua of the potential (2.10) correspond to solutions of

$$\alpha_I + \sum_{M_J > 0} \tau_{IJ} M^J + \sum_{M_J < 0} \bar{\tau}_{IJ} M^J = 0. \quad (3.4)$$

To be more precise, there *are* more solutions with other sign choices for  $\pm M_J$ , but only *this* choice leads to  $\text{Im}(\tau)$  being positive definite. Since  $\text{Im}(\tau)$  is also the metric on the moduli space, only this solution is physical.

Depending on how we choose to distribute the branes, there are two distinct classes of non-supersymmetric vacua which can be constructed in this way. We will discuss both of them presently.

### 3.2. $M_1 < 0, M_{2,3} > 0$

In this case, the critical points of the potential correspond to

$$\begin{aligned} \bar{S}_1^{|M_1|} &= \left( \overline{\Lambda_0^2 m_1} \right)^{|M_1|} \left( \frac{a_{12}}{\Lambda_0} \right)^{|M_2|} \left( \frac{a_{31}}{\Lambda_0} \right)^{-|M_3|} \exp(-2\pi i \alpha_1) \\ S_2^{|M_2|} &= \left( \Lambda_0^2 m_2 \right)^{|M_2|} \left( \frac{\bar{a}_{12}}{\Lambda_0} \right)^{|M_1|} \left( \frac{a_{23}}{\Lambda_0} \right)^{-|M_3|} \exp(-2\pi i \alpha_2) \quad . \\ S_3^{|M_3|} &= \left( \Lambda_0^2 m_3 \right)^{|M_3|} \left( \frac{a_{23}}{\Lambda_0} \right)^{-|M_2|} \left( \frac{\bar{a}_{31}}{\Lambda_0} \right)^{-|M_1|} \exp(-2\pi i \alpha_3) \end{aligned}$$

The  $S_i$  are identified with the gaugino condensates of the low energy,  $U(M_1) \times U(M_2) \times U(M_3)$  gauge theory. The gaugino condensates are the order parameters of the low energy physics and as such should not depend on the cutoff  $\Lambda_0$ . Let's then introduce three new confinement scales,  $\Lambda_i$ , defined as

$$S_i = \Lambda_i^3.$$

In fact, only two of these are independent. As a consequence of homology relation (3.1), the gauge couplings satisfy  $\alpha_1 + \alpha_2 = \alpha_3$ , which implies that

$$\left(\frac{\overline{\Lambda_1}}{\Delta}\right)^{3|M_1|} \left(\frac{\Lambda_2}{\Delta}\right)^{3|M_2|} = \left(\frac{\Lambda_3}{\Delta}\right)^{3|M_3|},$$

where  $\Delta$  is given in (3.3). Requiring that the scales  $\Lambda_i$  do not depend on the cutoff scale, we can read off how the gauge couplings run with  $\Lambda_0$ ,

$$\begin{aligned} g_1^{-2}(\Lambda_0) &= -\log\left(\frac{\overline{\Lambda_1^3}}{\Lambda_0^2 m_1}\right)^{|M_1|} - \log\left(\frac{\Lambda_0}{a_{12}}\right)^{|M_2|} - \log\left(\frac{\Lambda_0}{a_{13}}\right)^{-|M_3|} \\ g_2^{-2}(\Lambda_0) &= -\log\left(\frac{\Lambda_2^3}{\Lambda_0^2 m_2}\right)^{|M_2|} - \log\left(\frac{\overline{\Lambda_0}}{a_{12}}\right)^{|M_1|} - \log\left(\frac{\Lambda_0}{a_{23}}\right)^{-|M_3|}. \end{aligned} \quad (3.5)$$

As was noticed in [1], this kind of running of the gauge couplings and relation between strong coupling scales is very similar to what occurs in the *supersymmetric* gauge theory (as studied in [25]) obtained by wrapping  $M_i$  branes of the same kind on the three  $S^2$ 's. The only difference is that branes and anti-branes lead to complex conjugate runnings, as if the spectrum of the theory remained the same, apart from the chirality of the fermions on the brane and the anti-brane getting flipped. This is natural, as the branes and the anti-branes have opposite GSO projections, so indeed a different chirality fermion is kept. In addition, the open string RR sectors with one boundary on branes and the other on anti-branes has opposite chirality kept as well, and this is reflected in the above formulas.

To this order, the value of the potential at the critical point is

$$V_* = \sum_I \frac{|M_I|}{g_I^2} - \frac{1}{2\pi} |M_1| |M_2| \log\left(\left|\frac{a_{12}}{\Lambda_0}\right|\right) + \frac{1}{2\pi} |M_1| |M_3| \log\left(\left|\frac{a_{13}}{\Lambda_0}\right|\right)$$

The first terms are just due to the tensions of the branes. The remaining terms are due to the Coulomb and gravitational interactions of the branes, which come from the one-loop interaction in the open string theory. There is no force between the  $M_2$  branes wrapping  $S_2^2$  and the  $M_3$  branes on  $S_3^2$ , since  $M_{2,3}$  are both positive, so the open strings stretching between them should be supersymmetric. On the other hand, the  $M_1$  anti-branes on  $S_1^2$

should interact with the  $M_{2,3}$  branes as the Coulomb and gravitational interactions should no longer cancel. This is exactly what one sees above. The  $M_1$  anti-branes on  $S_1^2$  attract the  $M_3$  branes on  $S_3^2$ , while they repel the branes on  $S_2^2$ . We will see in the next section that more generally, branes and anti-branes wrapping 2-cycles with negative intersection numbers (in the ALE space) attract, and those wrapping 2-cycles with positive intersection numbers repel. Since<sup>9</sup>

$$e_1 \cdot e_2 = 1, \quad e_1 \cdot e_3 = -1,$$

this is exactly what we see here.

### 3.3. $M_{1,2} > 0, M_3 < 0$

With only the non-simple root wrapped by anti-branes, the critical points of the potential now correspond to

$$\begin{aligned} S_1^{|M_1|} &= \left( \Lambda_0^2 m_1 \right)^{|M_1|} \left( \frac{a_{12}}{\Lambda_0} \right)^{|M_2|} \left( \frac{\overline{a_{31}}}{\Lambda_0} \right)^{-|M_3|} \exp(-2\pi i \alpha_1) \\ S_2^{|M_2|} &= \left( \Lambda_0^2 m_2 \right)^{|M_2|} \left( \frac{a_{12}}{\Lambda_0} \right)^{|M_1|} \left( \frac{\overline{a_{23}}}{\Lambda_0} \right)^{-|M_3|} \exp(-2\pi i \alpha_2) \\ S_3^{|M_3|} &= \left( \overline{\Lambda_0^2 m_3} \right)^{|M_3|} \left( \frac{a_{23}}{\Lambda_0} \right)^{-|M_2|} \left( \frac{a_{31}}{\Lambda_0} \right)^{-|M_1|} \exp(-2\pi i \alpha_3) \end{aligned}$$

In this case, the Kähler parameters  $\alpha_{1,2}$  run as

$$\begin{aligned} g_1^{-2}(\Lambda_0) &= -\log\left(\frac{\overline{\Lambda_1^3}}{\Lambda_0^2 m_1}\right)^{|M_1|} - \log\left(\frac{\Lambda_0}{a_{12}}\right)^{|M_2|} - \log\left(\frac{\Lambda_0}{a_{13}}\right)^{-|M_3|} \\ g_2^{-2}(\Lambda_0) &= -\log\left(\frac{\Lambda_2^3}{\Lambda_0^2 m_2}\right)^{|M_2|} - \log\left(\frac{\overline{\Lambda_0}}{a_{12}}\right)^{|M_1|} - \log\left(\frac{\Lambda_0}{a_{23}}\right)^{-|M_3|} \end{aligned}$$

where

$$\left(\frac{\Lambda_1}{\Delta}\right)^{3|M_1|} \left(\frac{\Lambda_2}{\Delta}\right)^{3|M_2|} = \left(\frac{\overline{\Lambda_3}}{\Delta}\right)^{3|M_3|}.$$

This follows the same pattern as seen in [1] and in the previous subsection. The branes and anti-branes give complex conjugate runnings, as do the strings stretching between them.

The value of potential at the critical point is, to this order,

$$V_* = \sum_I \frac{|M_I|}{g_I^2} + \frac{1}{2\pi} |M_1| |M_3| \log\left(\left|\frac{a_{13}}{\Lambda_0}\right|\right) + \frac{1}{2\pi} |M_2| |M_3| \log\left(\left|\frac{a_{23}}{\Lambda_0}\right|\right).$$

---

<sup>9</sup> The second relation is due to the self intersection numbers of  $S_1^2$  and  $S_2^2$  being  $-2$ .

Again, the first terms are universal, coming from the brane tensions. The remaining terms are the one-loop interaction terms. There is no force between the  $M_1$  branes wrapping  $S_1^2$  and the  $M_2$  branes on  $S_2^2$ , since now both  $M_{1,2}$  have the same sign. The  $M_3$  anti-branes on  $S_3^2$  attract both  $M_1$  branes on  $S_1^2$  and the  $M_2$  branes on  $S_2^2$ , since, in the ALE space

$$e_1 \cdot e_3 = e_2 \cdot e_3 = -1.$$

In the next subsection, we will show that both of these brane/anti-brane systems are perturbatively stable for large separations.

### 3.4. Metastability

The system of branes and anti-branes engineered above should be perturbatively stable when the branes are weakly interacting – in particular, at weak 't Hooft coupling. The open/closed string duality implies that the dual closed string vacuum should be metastable as well. In this subsection, we'll show that this indeed is the case. Moreover, following [2], we'll show that perturbative stability is lost as we increase the 't Hooft coupling. While some details of this section will be specific to the  $A_2$  case discussed above, the general aspects of the analysis will be valid for any of the ADE fibrations discussed in the next section.

To begin with, we note that the equations of motion, derived from the potential (2.10), are

$$\partial_k V = \frac{-1}{2i} \mathcal{F}_{kef} G^{ae} G^{bf} (\alpha_a + M^c \bar{\tau}_{ac}) (\bar{\alpha}_b + M^d \bar{\tau}_{bd}) = 0, \quad (3.6)$$

and moreover, the elements of the Hessian are

$$\begin{aligned} \partial_p \partial_q V &= G^{ia} G^{bj} i \mathcal{F}_{abpq} (\alpha_i + M^k \bar{\tau}_{ki}) (\bar{\alpha}_j + M^r \bar{\tau}_{rj}) \\ &\quad + 2 G^{ia} G^{bc} G^{dj} i \mathcal{F}_{abp} i \mathcal{F}_{cdq} (\alpha_i + M^k \bar{\tau}_{ki}) (\bar{\alpha}_j + M^r \bar{\tau}_{rj}) \\ \partial_q \partial_{\bar{q}} V &= - G^{ia} G^{bc} G^{dj} i \mathcal{F}_{abp} i \bar{\mathcal{F}}_{cdq} (\alpha_i + M^k \bar{\tau}_{ki}) (\bar{\alpha}_j + M^r \tau_{rj}) \\ &\quad - G^{ic} G^{da} G^{bj} i \mathcal{F}_{abp} i \bar{\mathcal{F}}_{cdq} (\alpha_i + M^k \bar{\tau}_{ki}) (\bar{\alpha}_j + M^r \bar{\tau}_{rj}) \end{aligned} \quad (3.7)$$

where we have denoted  $\partial_c \tau_{ab} = \mathcal{F}_{abc}$ , and similarly for higher derivatives of  $\tau$ .

In the limit where all the 't Hooft couplings  $g_i^2 N_i$  are very small, the sizes of the dual 3-cycles  $S_a = \Lambda_a^3$  are small compared to the separations between them, so we can keep only the leading terms in the expansion of  $\mathcal{F}_0$  in powers of  $S$ , i.e., the one-loop terms in the matrix model. At one-loop, the third and fourth derivatives of the prepotential are

nonzero only if all of the derivatives are with respect to the same variable. Expanding about the physical solution to this order,

$$\alpha_a + \sum_{M_b > 0} \tau_{ab} M_b + \sum_{M_b < 0} \bar{\tau}_{ab} M_b = 0. \quad (3.8)$$

The non-vanishing elements of the Hessian are

$$\begin{aligned} \partial_i \partial_j V &= \frac{1}{4\pi^2} \frac{|M^i M^j|}{S_i S_j} G^{ij} & i, j \text{ opposite type} \\ \partial_i \partial_{\bar{j}} V &= \frac{1}{4\pi^2} \frac{|M^i M^j|}{S_i \bar{S}_j} G^{ij} & i, j \text{ same type} \end{aligned} \quad (3.9)$$

where the ‘type’ of an index refers to whether it corresponds to branes or anti-branes.

To get a measure of supersymmetry breaking, consider the fermion bilinear couplings. Before turning on fluxes, the theory has  $\mathcal{N} = 2$  supersymmetry, and the choice of superpotential (2.6) breaks this explicitly to  $\mathcal{N} = 1$ . For each 3-cycle, we get a chiral multiplet  $(S_i, \psi_i)$  and a vector multiplet  $(A_i, \lambda_i)$  where  $\psi_i, \lambda_i$  are a pair of Weyl fermions. It is easy to work out [1] that the coefficients of the non-vanishing fermion bilinears are

$$\begin{aligned} m_{\psi^a \psi^b} &= \frac{1}{2} G^{cd} (\alpha_d + M^e \bar{\tau}_{de}) \mathcal{F}_{abc}, \\ m_{\lambda^a \lambda^b} &= \frac{1}{2} G^{cd} (\bar{\alpha}_d + M^e \bar{\tau}_{de}) \mathcal{F}_{abc}, \end{aligned}$$

and evaluating this in the vacuum we find

$$\begin{aligned} m_{\psi^a \psi^b} &= -\frac{1}{4\pi} \frac{M_a + |M_a|}{S_a} \delta_{ab}, \\ m_{\lambda^a \lambda^b} &= -\frac{1}{4\pi} \frac{M_a - |M_a|}{S_a} \delta_{ab}. \end{aligned}$$

Bose-Fermi degeneracy is restored in the limit where we take

$$(G_{ij})^2 / G_{ii} G_{jj} \ll 1, \quad i, j \text{ opposite type}.$$

In this limit we get a decoupled system of branes and anti-branes except that for nodes wrapped with branes,  $S_a$ ’s get paired up with  $\psi$ ’s, and for nodes wrapped with anti-branes they pair with  $\lambda$ ’s, corresponding to a different half of  $\mathcal{N} = 2$  supersymmetry being

preserved in the two cases.<sup>10</sup> This is the limit of extremely weak 't Hooft coupling, and the sizes of the cuts are the smallest scale in the problem by far

$$\frac{\Lambda_i}{\Delta} \ll \frac{a_{ij}}{\Lambda_0}, \quad \frac{\Delta}{\Lambda_0} < 1. \quad (3.10)$$

In this limit the Hessian is manifestly positive definite. In fact the Hessian is positive definite as long as the one-loop approximation is valid. To see this note that the determinant of the Hessian is, up to a constant, given by

$$\text{Det}(\partial^2 V) \sim \left( \frac{1}{\text{Det } G} \prod_{i=1}^n \left| \frac{M^i}{S_i} \right|^2 \right)^2. \quad (3.11)$$

It is never zero while the metric remains positive definite, so a negative eigenvalue can never appear. Thus, one can conclude that as long as all the moduli are in the regime where the 't Hooft couplings are small enough for the one-loop approximation to be valid, the system will remain stable to small perturbations.

Let's now find how the solutions are affected by the inclusion of higher order corrections. At two loops, an exact analysis of stability becomes difficult in practice. However, in various limits one can recover systems which can be understood quite well. For simplicity, we will assume that the  $\alpha_i$  are all pure imaginary, and all the parameters  $a_{ij}$  and  $\Lambda_0$  are purely real. Then there are solutions where the  $S_i$  are real. In appendix B, we show that in this case, upon including the two-loop terms, the determinant of the Hessian becomes

$$(\text{Det } G^{ab})^2 \left( \prod_c \frac{|M^c|}{i\mathcal{F}_{ccc}} \right)^4 \text{Det} \left( \delta_{cb} + G_{cb} \frac{i\mathcal{F}_{bbbb}\delta^b}{i\mathcal{F}_{bbb}i\mathcal{F}_{ccc}|M^c|} \right) \text{Det} \left( \delta_{cb} - G_{cb} \frac{i\mathcal{F}_{bbbb}\delta^b}{i\mathcal{F}_{bbb}i\mathcal{F}_{ccc}|M^c|} \right) \quad (3.12)$$

where

$$\delta^k = \frac{1}{2|M^k|\mathcal{F}_{kkk}} \mathcal{F}_{kab} (-|M^a||M^b| + M^a M^b) \quad (3.13)$$

and  $\delta_{cb}$  is the Kronecker delta. The first two terms in (3.12) never vanish, since the metric has to remain positive definite, so we need only analyze the last two determinants. We can plug in the one-loop values for the various derivatives of the prepotential, and in doing so obtain

$$\text{Det} \left( \delta_{ab} \pm 2\pi G_{ab} \frac{S^a}{\Delta^3} \frac{x^b}{|M^a|} \right) = 0 \quad (3.14)$$

---

<sup>10</sup> The kinetic terms of both bosons and fermions are computed with the same metric  $G_{ab}$ .

with either choice of sign. Above, we have rewritten eq. (3.13) as

$$\delta^a = \frac{S^a}{\Delta^3} x^a. \quad (3.15)$$

This is a convenient rewriting because  $S/\Delta^3$  is the parameter controlling the loop expansion, and  $x^a$  is simply a number which depends on the  $N^i$  but no other parameters.

Consider the case where, for some  $i$ , a given  $S_i^3$  grows much larger than the other two. We can think of this as increasing the *effective* 't Hooft coupling for that node, or more precisely, increasing

$$\left(\frac{\Lambda_{M_i}}{\Delta}\right)^3 = \exp\left(-\frac{1}{|M_i|g_{i,eff}^2(\Delta)}\right).$$

Recall that the two-loop equations of motion for *real*  $S_i$ , are given by

$$g_{i,eff}^{-2}(\Delta) = -|M_i| \log\left(\left|\frac{S_i}{\Delta^3}\right|\right) + G_{ik} \delta^k \quad (3.16)$$

where

$$\begin{aligned} g_{1,eff}^{-2}(\Delta) &= g_1^{-2}(\Lambda_0) - |M_1|(L_{12} + L_{13}) + |M_2|L_{12} - |M_3|L_{13} \\ g_{2,eff}^{-2}(\Delta) &= g_2^{-2}(\Lambda_0) - |M_2|(L_{12} + L_{23}) + |M_1|L_{12} - |M_3|L_{13} \\ g_{3,eff}^{-2}(\Delta) &= g_3^{-2}(\Lambda_0) - |M_3|(L_{13} + L_{23}) - |M_1|L_{13} - |M_2|L_{23}. \end{aligned}$$

Here we've adopted the notation  $L_{ij} = \log \frac{\Lambda_0}{a_{ij}}$  and the  $\delta^k$  are as defined in (3.13). Note that in each case, two of the equations can be solved straight off. It is the remaining equations which provide interesting behavior and can result in a loss of stability. Correspondingly, the vanishing of the Hessian determinant in (3.12) is then equivalent to the vanishing of its  $ii$  entry (where we have assumed a vacuum at real  $S$ ):

$$1 \pm G_{ii} \frac{S_i}{\Delta^3} \frac{x_i}{|M_i|} = 0. \quad (3.17)$$

We'll see that we can approximate

$$G_{ii} = -\log\left(\left|\frac{S_i}{\Delta^3}\right|\right) + L_i \sim L_i$$

where we have defined

$$L_i = L_{ij} + L_{ik}, \quad i \neq j \neq k,$$

so this provides the following conditions:

$$\pm 1 = L_i \frac{x_i}{|M_i|} \frac{S_i}{\Delta^3}. \quad (3.18)$$

The above equation, taken with positive sign, is equivalent to the condition for stability being lost by setting the determinant of the gradient matrix of the equations to zero. The equation with minus sign comes from losing stability in imaginary direction. Correspondingly, the equation of motion for the one node with growing 't Hooft coupling becomes

$$g_{i,eff}^{-2} = -|M_i| \log \frac{S_i}{\Delta^3} + L_i x_i \frac{S_i}{\Delta^3}. \quad (3.19)$$

One of the equations (3.18) must be solved in conjunction with (3.19) if stability is to be lost.

The sign of  $x_i$  can vary depending on the specifics of the charges. In all the cases, as the effective 't Hooft coupling increases, solutions move to larger values of  $S_i$ . For sufficiently large values, in the absence of some special tuning of the charges, (3.18) will be satisfied for one of the two signs. The only question then is whether the  $S_i$  can get large enough, or whether a critical value above which the equation of motion can no longer be solved is reached *before* an instability sets in. In the equation above, if  $x_i$  is negative, then there will be no such critical value, and  $S_i$  can continue to grow unbounded. Correspondingly, a large enough value of the 't Hooft coupling can always be reached where (3.18) is satisfied with negative sign. Alternatively, if the coefficient  $x_i$  is positive, there will be a critical value for  $L_i$  at which the right hand side of the equation takes a minimum value. This occurs at  $(S_{i,*}/\Delta^3) = \frac{|M_i|}{x_i L_i}$ , which is precisely (3.18) with positive left hand side. So, for *any* value of  $x_i$  an instability develops at finite effective 't Hooft coupling corresponding to

$$\frac{S_{i,*}}{\Delta^3} = \frac{|M_i|}{|x_i| L_i},$$

or more precisely, at

$$|M_i| g_{i,eff}^2(\Delta) = \log^{-1} \left( \frac{|M_i|}{x_i L_i} \right).$$

This critical value of the effective 't Hooft coupling can be achieved by increasing the number of branes on that node, or, in case of nodes one and two, by letting the corresponding *bare* 't Hooft coupling increase. This is true as long as supersymmetry is broken and the corresponding two-loop correction is non-vanishing, i.e. as long as  $x_i \neq 0$ . It is reasonable to suspect that in the degenerate case, where charges conspire to set  $x_i$  to zero even with broken supersymmetry, the instability would set in at three loops.

It is natural to ask the fate of the system once metastability is lost. It should be the case [2] that it rolls to another a critical point corresponding to shrinking the one compact



$B$ -type cycle,  $\mathcal{B}_1 + \mathcal{B}_2 - \mathcal{B}_3$ . To describe this point in the moduli space, introduce a new basis of periods in which this shrinking  $B$ -cycle becomes one of the  $A$  periods:

$$\begin{aligned} \oint_{\mathcal{A}'_1} H &= M_1 + M_3, & \oint_{\mathcal{A}'_2} H &= M_2 + M_3, & \oint_{\mathcal{A}'_3} H &= 0, \\ \int_{\mathcal{B}'_1} H &= \alpha_1, & \int_{\mathcal{B}'_2} H &= \alpha_2, & \int_{\mathcal{B}'_3} H &= M_3, \end{aligned}$$

where  $H = H^{RR} + \tau H^{NS}$ . In particular, there is no flux through the new cycle  $\mathcal{A}'_3$ . In fact, by setting  $M_1 = M_2 = -M_3 = M$ , there is no flux through any of the  $\mathcal{A}'$  cycles.<sup>11</sup> For  $S'_i = \int_{\mathcal{A}'} \Omega$  sufficiently large that we can ignore the light D3 branes wrapping this cycle,

$$\tau'_{ii} \sim \frac{1}{2\pi i} \log \frac{S'_i}{\Delta^3}, \quad \tau'_{i \neq j} \sim \text{const},$$

it is easy to see that the system has an effective potential that would attract it to the point where the  $S'_i = 0$  and the cycles shrink:

$$V_{eff} \sim V_0 + \sum_i \left| \frac{c_i}{\log \left| \frac{S'_i}{\Delta^3} \right|} \right|^2$$

where  $c_i \sim \int_{\mathcal{B}'_i} H$ . By incorporating the light D3 branes wrapping the flux-less, shrinking cycles, the system would undergo a geometric transition to a non-Kähler manifold [38]. There, the cycle shrinks and a new 2-cycle opens up, corresponding to condensing a D3 brane hypermultiplet. However, this 2-cycle becomes the boundary of a compact 3-cycle  $\mathcal{B}'$  which get punctured in the transition that shrinks the  $\mathcal{A}'$  cycles. A manifold where such a 2-cycle has nonzero volume is automatically non-Kähler, but it is supersymmetric. As we'll review shortly, the shrinking cycle  $\mathcal{A}'_3$  is also the cycle wrapped by the D5 brane domain walls that mediate the non-perturbative decay of the metastable flux vacua. The loss of metastability seems to be correlated with existence of a point in the moduli space where the domain walls become light and presumably fluxes can annihilate classically (this also happened in the  $A_1$  model studied in [2]). In particular, in the last section of this paper, we'll provide two examples of a system where the corresponding points in the complex structure moduli space are *absent*, but which are exactly stable perturbatively even though they are non-supersymmetric (one of them will be stable non-perturbatively as well). It must be added, as discussed in [2], that it is far from clear whether the light domain walls

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<sup>11</sup> In the more general case, the system should be attracted to a point where only  $\mathcal{A}'_3$  shrinks.

can be ignored, and so whether the system truly rolls down to a supersymmetric vacuum. A more detailed analysis of the physics at this critical point is beyond the scope of this paper.

It was suggested in [2] that the loss of stability might be related to the difference in the value of  $V_*$  between the starting vacuum and a vacuum to which it might tunnel becoming small, and thus the point where Coulomb attraction starts to dominate in a subset of branes. In the more complicated geometries at hand, it seems that such a simple statement does not carry over. This can be seen by noting that, for certain configurations of brane charges in our case, an instability can be induced without having any effect on the  $\Delta V_*$  between vacua connected by tunneling events. We are led to conclude that the loss of stability is a strong coupling effect in the non-supersymmetric system, which has no simple explanation in terms of our open string intuition. This should have perhaps been clear, in that the point to which the system apparently rolls has no straightforward explanation in terms of brane annihilation.

### 3.5. Decay Rates

We now study the decays of the brane/anti-brane systems of the previous section. This closely parallels the analysis of [1]. We have shown that when the branes and anti-branes are sufficiently well-separated, the system is perturbatively stable. Non-perturbatively, the system can tunnel to lower energy vacua, if they are available. In this case, the available vacua are constrained by charge conservation – any two vacua with the same net charges

$$N_1 = M_1 + M_3, \quad N_2 = M_2 + M_3$$

are connected by finite energy barriers. The false vacuum decay proceeds by the nucleation of a bubble of lower energy vacuum.

The decay process is easy to understand in the closed string language. The vacua are labeled by the fluxes through the three  $S^3$ 's

$$\int_{\mathcal{A}_I} H^{RR} = M_I. \quad I = 1, 2, 3$$

Since RR 3-form fluxes jump in going from the false vacuum to the true vacuum, the domain walls that interpolate between the vacua are D5 branes. Over a D5 brane wrapping a compact 3-cycle  $C$  in the Calabi-Yau, the fluxes jump by an amount

$$\Delta M_I = \#(C \cap \mathcal{A}_I)$$

In the present case, it is easy to see that there is only one compact 3-cycle  $C$  that intersects the  $A$ -cycles,

$$C = \mathcal{B}_1 + \mathcal{B}_2 - \mathcal{B}_3.$$

So, across a D5 brane wrapping  $C$ , the fluxes through  $\mathcal{A}_{1,2}$  decrease by one unit, and the flux through  $\mathcal{A}_3$  increases by one unit. Note that this is consistent with charge conservation for the branes. In fact, the domain walls in the open and the closed picture are essentially the same. In the open string language, the domain wall is also a D5 brane, but in this case it wraps a three-chain obtained by pushing  $C$  through the geometric transition. The three-chain has boundaries on the minimal  $S^2$ 's, and facilitates the homology relation (3.1) between the 2-cycles.

The decay rate  $\Gamma$  is given in terms of the action  $S_{inst}$  of the relevant instanton.

$$\Gamma \sim \exp(-S_{inst})$$

Since the Calabi-Yau we have been considering is non-compact, we can neglect gravity, and the instanton action is given by

$$S_{inst} = \frac{27\pi}{2} \frac{S_D^4}{(\Delta V_*)^3}$$

where  $S_D$  is the tension of the domain wall, and  $\Delta V_*$  is the change in the vacuum energy across the domain wall. While this formula was derived in [39] in a scalar field theory, it is governed by energetics, and does not depend on the details of the theory as long as the semi-classical approximation is applicable.

In the present case, the tension of the domain wall is bounded below by

$$S_D = \frac{1}{g_s} \int_C \Omega, \tag{3.20}$$

since the  $\int_C \Omega$  computes the lower bound on the volume of any 3-cycle in this class, and the classical geometry is valid to the leading order in  $1/N$ , the order to which we are working. The tension of the domain wall is thus the same as the tension of a domain wall interpolating between the supersymmetric vacua, and to leading order (open-string tree-level) this is given by the difference between the tree-level superpotentials (2.6)

$$\int_C \Omega \sim W_3(a_3) - W_1(a_1) - W_2(a_2) = \frac{1}{2} \Delta^3,$$

where  $\Delta^3$  is defined in (3.3). This is just the “holomorphic area” of the triangle in figure 1. The area is large as long as all the brane separations are large, and as long as this is so, it is independent of the fluxes on the two sides of the domain wall.

At the same time, the difference in the potential energy between the initial and the final states is given by the classical brane tensions,

$$\Delta V = V_i - V_f = \sum_I (|M_I| - |M'_I|)/g_I^2.$$

The fate of the vacuum depends on the net charges. If  $N_{1,2}$  are both positive, then the true vacuum is supersymmetric. Moreover, there is a landscape of degenerate such vacua, corresponding to all possible ways of distributing branes consistent with charge conservation such that  $M'_I$  are all positive. Starting with, say,  $(M_1, M_2, M_3) = (N_1 + k, N_2 + k, -k)$ , where  $k > 0$ , this can decay to  $(N_1, N_2, 0)$  since

$$\Delta V = V_i - V_f = 2 \frac{k|r_3|}{g_s},$$

corresponding to  $k$  branes on  $S_3^2$  getting annihilated, where  $r_3$  is the Kähler area of  $S_3^2$ . The decay is highly suppressed as long as string coupling  $g_s$  is weak and the separation between the branes is large. The action of the domain wall is  $k$  times that of (3.20)<sup>12</sup>, so

$$S_{inst} = \frac{27\pi}{32} \frac{k}{g_s} \frac{|\Delta|^{12}}{|r_3|^3} = \frac{27\pi}{32} \frac{|g_3|^3}{g_s^4} k |\Delta|^{12}. \quad (3.21)$$

The instanton action (3.21) depends on the cutoff scale  $\Lambda_0$  due to the running of the gauge coupling  $g_3^{-2}(\Lambda_0)$ . The dependence on  $\Lambda_0$  implies [12] that (3.21) should be interpreted as the rate of decay corresponding to fluxes decaying in the portion of the Calabi-Yau bounded by  $\Lambda_0$ .

If instead we take say  $N_1 > 0 > N_2$ , then the lowest energy state corresponds to  $N_1$  branes on node 1,  $N_2$  anti-branes on node 2, with node 3 unoccupied. This is the case at least for those values of parameters corresponding to the system being weakly coupled. In this regime, this particular configuration gives an example of an exactly stable, non-supersymmetric vacuum in string theory – there is no other vacuum with the same charges that has lower energy. Moreover, as we’ll discuss in section 6, for some special values of the parameters  $m_{1,2}$  the system is exactly solvable, and can be shown to be *exactly* stable even when the branes and the anti-branes are close to each other.

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<sup>12</sup> All quantities being measured in string units.

#### 4. Generalizations

Consider now other ADE fibrations over the complex plane. As in (2.1) we start with the deformations of 2-complex dimensional ALE singularities:

$$A_k : \quad x^2 + y^2 + z^{k+1} = 0$$

$$D_r : \quad x^2 + y^2 z + z^{r-1} = 0$$

$$E_6 : \quad x^2 + y^3 + z^4 = 0$$

$$E_7 : \quad x^2 + y^3 + yz^3 = 0$$

$$E_8 : \quad x^2 + y^3 + z^5 = 0$$

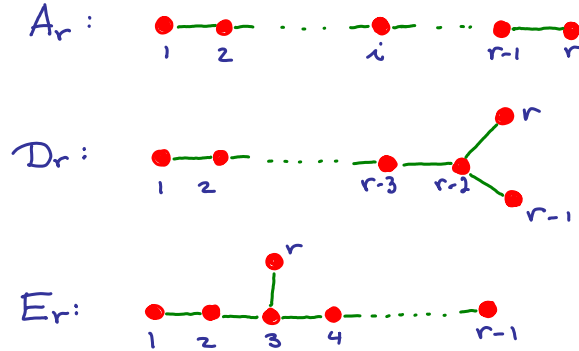
and fiber these over the complex  $t$  plane, allowing the coefficients parameterizing the deformations to be  $t$  dependent. The requisite deformations of the singularities are canonical (see [24] and references therein). For example, the deformation of the  $D_r$  singularity is

$$x^2 + y^2 z + z^{-1} \left( \prod_{i=1}^r (z - z_i^2) - \prod_{i=1}^r z_i^2 \right) + 2 \prod_{i=1}^r z_i y.$$

In fibering this over the  $t$  plane, the  $z_i$  become polynomials  $z_i(t)$  in  $t$ .<sup>13</sup> After deformation, at a generic point in the  $t$  plane, the ALE space is smooth, with singularities resolved by a set of  $r$  independent 2-cycle classes

$$S_i^2, \quad i = 1, \dots, r$$

where  $r$  is the rank of the corresponding Lie algebra. The 2-cycle classes intersect according to the ADE Dynkin diagram of the singularity:




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<sup>13</sup> This is the so called “non-monodromic” fibration. The case where the  $z_i$  are instead multi-valued functions of  $t$  corresponds to the “monodromic” fibration [24].

**Fig. 2.** Dynkin diagrams of the ADE Lie algebras. Every node corresponds to a simple root and to a 2-cycle class of self intersection  $-2$  in the ALE space. The nodes that are linked correspond to 2-cycles which intersect with intersection number  $+1$ .

The deformations can be characterized by “superpotentials”,

$$W'_i(t) = \int_{S^2_{i,t}} \omega^{2,0}.$$

which compute the holomorphic volumes of the 2-cycles at fixed  $t$ . For each positive root  $e_I$ , which can be expanded in terms of simple roots  $e_i$  as

$$e_I = \sum_i n_I^i e_i$$

for some positive integers  $n_I^i$ , one gets a zero-sized, primitive 2-cycle at points in the  $t$ -plane where

$$W'_I(t) = \sum_i n_I^i W'_i(t) = 0. \quad (4.1)$$

Blowing up the singularities supplies a minimal area to the 2-cycles at solutions of (4.1),

$$t = a_{I,p},$$

where  $I$  labels the positive root and  $p$  runs over all the solutions to (4.1) for that root.

As shown in [24] and references therein, the normal bundles to the minimal, holomorphic  $S^2$ 's obtained in this way are always  $\mathcal{O}(-1) \oplus \mathcal{O}(-1)$ , and correspondingly the  $S^2$ 's are isolated.<sup>14</sup> This implies that when branes or anti-branes are wrapped on the  $S^2$ 's, there is an energy cost to moving them off. Moreover, the parameters that enter into defining the  $W_i$ , as well as the Kähler classes of the  $S^2$ 's, are all non-dynamical in the Calabi-Yau. As a consequence, if we wrap branes and anti-branes on minimal  $S^2$ 's, the non-supersymmetric system obtained is metastable, at least in the regime of parameters where the  $S^2$ 's are well separated.

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<sup>14</sup> In [24] the authors also considered the monodromic ADE fibrations, where the 2-cycles of the ALE space undergo monodromies around paths in the  $t$  plane. In this case, the novelty is that the  $S^2$ 's can appear with normal bundles  $\mathcal{O} \oplus \mathcal{O}(-2)$  or  $\mathcal{O}(-1) \oplus \mathcal{O}(3)$ . Wrapping branes and anti-branes on these cycles is not going to give rise to new metastable vacua, since there will be massless deformations moving the branes off of the  $S^2$ 's. It would be interesting to check this explicitly in the large  $N$  dual.

The ALE fibrations have geometric transitions in which each minimal  $S^2$  is replaced by a minimal  $S^3$ . A key point here is that none of the 2-cycles have compact, dual 4-cycles, so the transitions are all locally conifold transitions. The one-loop prepotential  $\mathcal{F}_0$  for all these singularities was computed in [25], and is given by

$$2\pi i \mathcal{F}_0(S) = \frac{1}{2} \sum_b S_b^2 \left( \log \left( \frac{S_b}{W_I''(a_b) \Lambda_0^2} \right) - \frac{3}{2} \right) + \frac{1}{2} \sum_{b \neq c} e_{I(b)} \cdot e_{J(c)} S_b S_c \log \left( \frac{a_{bc}}{\Lambda_0} \right), \quad (4.2)$$

where the sum is over all critical points  $b = (I, p)$ , and  $I(b) = I$  denotes the root  $I$  to which the critical point labeled by  $b$  corresponds. We are neglecting cubic and higher order terms in the  $S_{I,p}$ , which are related to higher loop corrections in the open string theory. Above,  $W_I(t)$  is the superpotential corresponding to the root  $e_I$ , and  $e_I \cdot e_J$  is the inner product of two positive, though not necessarily simple, roots. Geometrically, the inner product is the same as *minus* the intersection number of the corresponding 2-cycles classes in the ALE space.

Consider wrapping  $M_b$  branes or anti-branes on the minimal  $S^2$ 's labeled by  $b = (I, p)$ . We'll take all the roots to be positive, so we get branes or anti-branes depending on whether  $M_b$  is positive or negative. The effective superpotential for the dual, closed-string theory is given by (2.9). From this and the corresponding effective potential (2.10), we compute the expectation values for  $S_b$  in the metastable vacuum to be

$$\begin{aligned} \overline{S_b}^{|M_b|} &= \left( \overline{\Lambda_0^2 W_I''(a_b)} \right)^{|M_b|} \prod_{b \neq c}^{M_c < 0} \left( \frac{\overline{a_{bc}}}{\Lambda_0} \right)^{|M_c|} \prod_c^{M_c > 0} \left( \frac{a_{bc}}{\Lambda_0} \right)^{|M_c|} \exp(-2\pi i \alpha_{I(b)}), \quad M_b < 0 \\ S_b^{|M_b|} &= \left( \Lambda_0^2 W_I''(a_b) \right)^{|M_b|} \prod_{b \neq c}^{M_c > 0} \left( \frac{a_{bc}}{\Lambda_0} \right)^{|M_c|} \prod_c^{M_c < 0} \left( \frac{\overline{a_{bc}}}{\Lambda_0} \right)^{|M_c|} \exp(-2\pi i \alpha_{I(b)}). \quad M_b > 0 \end{aligned}$$

The value of the effective potential at the critical point is given by

$$V_* = \sum_b \frac{|M_b|}{g_{I(b)}^2} + \sum_{b,c}^{M_b > 0 > M_c} \frac{1}{2\pi} e_{I(b)} \cdot e_{J(c)} \log \left( \left| \frac{a_{bc}}{\Lambda_0} \right| \right).$$

The first term in the potential is just the contribution of the tensions of all the branes and anti-branes. The second term comes from the Coulomb and gravitational interactions between branes, which is a one-loop effect in the open string theory. As expected, at this order only the brane/anti-brane interactions affect the potential energy. The open strings

stretching between a pair of (anti-)branes, are supersymmetric, and the (anti-)branes do not interact. The interactions between branes and anti-branes depend on

$$e_I \cdot e_J$$

which is *minus* the intersection number – in the ALE space – of the 2-cycle classes wrapped by the branes. The branes and anti-branes attract if the 2-cycles they wrap have *negative* intersection, while they repel if the intersection number is *positive*, and do not interact at all if the 2-cycles do not intersect.

For example, consider the  $A_k$  quiver case, and a set of branes and anti-branes wrapping the 2-cycles obtained by blowing up the singularities at

$$z_i(t) = z_j(t), \quad z_m(t) = z_n(t)$$

where  $i < j$  and  $m < n$ . The branes do not interact unless  $i$  or  $j$  coincide with either  $m$  or  $n$ . The branes attract if  $i = m$  or  $j = n$ , in which case the intersection is either  $-1$  or  $-2$ , depending on whether one or both of the above conditions are satisfied. This is precisely the case when the branes and anti-branes can at least partially annihilate. If  $j = m$  or  $i = n$ , then the 2-cycles have intersection  $+1$ , and the branes repel. In this case, the presence of branes and anti-branes should break supersymmetry, but there is a topological obstruction to the branes annihilating, even partially. In fact, in the  $A_k$  type ALE spaces, this result is known from the direct, open string computation [27,28]. The fact that the direct computation agrees with the results presented here is a nice test of the conjecture of [1].

## 5. A Non-Supersymmetric Seiberg Duality

In the supersymmetric case, with all  $M_I$  positive, the engineered quiver gauge theories have Seiberg-like dualities. In string theory, as explained in [25], the duality comes from an *intrinsic* ambiguity in how we resolve the ADE singularities to formulate the brane theory.<sup>15</sup> The different resolutions are related by flops of the  $S^2$ 's under which the charges of the branes, and hence the ranks of the gauge groups, transform in non-trivial ways. The RG flows, which are manifest in the large  $N$  dual description, force some of the  $S^2$ 's to shrink and others to grow, making one description preferred over the others at a given energy scale. In this section, we argue that Seiberg dualities of this sort persist even when some of the branes are changed to anti-branes and supersymmetry is broken.

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<sup>15</sup> The idea that Seiberg dualities have a geometric interpretation in string theory goes back a long while, see for example [40-44]. The fact that these dualities arise dynamically in string theory has for the first time been manifested in [25,45].



### 5.1. Flops as Seiberg Dualities

For a fixed set of brane charges, one can associate different Calabi-Yau geometries. There is not a unique way to blow up the singularity where an  $S^2$  shrinks, and the different blowups are related by flops that shrink some 2-cycles and grow others. Instead of giving a 2-cycle class  $S_i^2$  a positive Kähler volume

$$r_i = \int_{S_i^2} B^{NS}$$

we can give it a *negative* volume, instead. This can be thought of as replacing the 2-cycle class by one of the opposite orientation

$$S_i^2 \rightarrow \tilde{S}_i^2 = -S_i^2.$$

The flop of a simple root  $S_i^2$  acts as on the other roots as a Weyl reflection which permutes the positive roots

$$S_j^2 \rightarrow \tilde{S}_j^2 = S_j^2 - (e_j \cdot e_i) S_i^2. \quad (5.1)$$

The net brane charges change in the process, but in a way consistent with charge conservation

$$\sum_i N_i S_i^2 = \sum_i \tilde{N}_i \tilde{S}_i^2. \quad (5.2)$$

We can follow how the number of branes wrapping the minimal 2-cycles change in this process. If  $i$  is the simple root that gets flopped<sup>16</sup>, then  $M_{i,p}$  goes to  $\tilde{M}_{i,p} = -M_{i,p}$  and for other roots labeled by  $J \neq i$

$$M_{J,p} = \tilde{M}_{w(J),p} \quad (5.3)$$

where  $w(J)$  is the image of  $J$  under the Weyl group action.

The size of the wrapped  $S^2$  is proportional to the inverse gauge coupling for the theory on the wrapping branes,

$$g_i^{-2}(t) \propto \frac{1}{g_s} \int_{S_{i,t}^2} B_{NS}, \quad (5.4)$$

so the flop (5.1) transforms the gauge couplings according to

$$g_j^{-2} \rightarrow \tilde{g}_j^{-2} = g_j^{-2} - (e_j \cdot e_i) g_i^{-2}. \quad (5.5)$$

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<sup>16</sup> Flopping non-simple roots can be thought of in terms of a sequence of simple node flops, as this generates the full Weyl group.

Generally, there is one preferred description for which the gauge couplings are all positive. In the geometry, we have the freedom to choose the sizes of the 2-cycles  $S_{i,t}^2$  at some fixed high scale, but the rest of their profile is determined by the one-loop running of the couplings (3.5) throughout the geometry and by the brane charges. The most invariant way of doing this is to specify the scales  $\Lambda_i$  at which the couplings (5.4) become strong. We can then follow, using holography, the way the  $B$ -fields vary over the geometry as one goes from near where the  $S^3$ 's are minimal, which corresponds to low energies in the brane theory, to longer distances, far from where the branes were located, which corresponds to going to higher energies. The  $S^2$ 's have finite size and shrink or grow depending on whether the gauge coupling is increasing or decreasing. We'll see that as we vary the strong coupling scales of the theory, we can smoothly interpolate between the two dual descriptions. Here it is crucial that the gauge coupling going through zero is a *smooth* process in the geometry: while the Kähler volume of the 2-cycle vanishes as one goes through a flop, the *physical* volume, given by (2.5), remains finite. Moreover, we can read off from the geometry which description is the more appropriate one at a given scale.

## 5.2. The $A_2$ Example

For illustration, we return to the example of the  $A_2$  quiver studied in section 3. To begin with, for a given set of charges  $M_i$ , we take the couplings  $g_i^{-2}$  of the theory to be *weak* at the scale  $\Delta$  set by the “superpotential”. This is the characteristic scale of the open-string ALE geometry. Then  $S_i/\Delta^3$  is small in the vacuum, and the weak coupling expansion is valid. From (3.5), we can deduce the one-loop running of the couplings with energy scale  $\mu = t$

$$\mu \frac{d}{d\mu} g_1^{-2}(\mu) = (2|M_1| + |M_3| - |M_2|), \quad \mu \frac{d}{d\mu} g_2^{-2}(\mu) = (2|M_2| + |M_3| - |M_1|). \quad (5.6)$$

Suppose now, for example

$$2|M_1| + |M_3| \leq |M_2|, \quad (5.7)$$

so then at high enough energies,  $g_1^{-2}(\mu)$  will become negative, meaning that the size of  $S_{i,t}^2$  has become negative. To keep the size of all the  $S^2$ 's positive, at large enough  $t$ , the geometry undergoes a flop of  $S_1^2$  that sends

$$\begin{aligned} S_1^2 &\rightarrow \tilde{S}_1^2 = -S_1^2 \\ S_2^2 &\rightarrow \tilde{S}_2^2 = S_2^2 + S_1^2, \end{aligned} \quad (5.8)$$

and correspondingly,

$$\tilde{N}_1 = N_2 - N_1, \quad \tilde{N}_2 = N_2, \quad (5.9)$$

while

$$\tilde{M}_1 = -M_1, \quad \tilde{M}_2 = M_3, \quad \tilde{M}_3 = M_2. \quad (5.10)$$

Recall the supersymmetric case first. The supersymmetric case with  $M_1 = 0$  was studied in detail in [25]. It corresponds to a vacuum of a low energy  $U(N_1) \times U(N_2)$ ,  $\mathcal{N} = 2$  theory where the superpotential breaks the gauge group to  $U(M_2) \times U(M_3)$ . The formulas (5.6) are in fact the same as in the supersymmetric case, when all the  $M_i$  are positive – the beta functions simply depend on the absolute values of the charges. If (5.7) is satisfied, the  $U(N_1)$  factor is not asymptotically free, and the coupling grows strong at high energies. There, the theory is better described in terms of its Seiberg dual, the asymptotically free  $U(\tilde{N}_1) \times U(\tilde{N}_2)$  theory, broken to  $U(\tilde{M}_2) \times U(\tilde{M}_3)$  by the superpotential.<sup>17</sup> The vacua at hand, which are visible semi-classically in the  $U(N_1) \times U(N_2)$  theory, are harder to observe in the  $U(\tilde{N}_1) \times U(\tilde{N}_2)$  theory, which is strongly coupled at the scale of the superpotential. But, the duality predicts that they are there. In particular, we can smoothly vary the strong coupling scale  $\Lambda_{N_1}$  of the original theory from (i)  $\Lambda_{N_1} < \Delta < \mu$ , where the description at scale  $\mu$  is better in terms of the original  $U(N_1) \times U(N_2)$  theory, to (ii)  $\Delta < \mu < \Lambda_{N_1}$ , where the description is better in terms of the dual  $U(\tilde{N}_1) \times U(\tilde{N}_2)$  theory.

For the dual description of a theory to exist, it is necessary, but not sufficient (as emphasized in [18]), that the brane charges at infinity of the Calabi-Yau be the same in both descriptions. In addition, the gauge couplings must run in a consistent way. In this supersymmetric  $A_2$  quiver, this is essentially true automatically, but let's review it anyway with the non-supersymmetric case in mind. On the one hand, (5.5) implies that the under the flop, the couplings transform as

$$\begin{aligned} g_1^{-2}(\mu) &\rightarrow \tilde{g}_1^{-2}(\mu) = -g_1^{-2}(\mu) \\ g_2^{-2}(\mu) &\rightarrow \tilde{g}_2^{-2}(\mu) = g_1^{-2}(\mu) + g_2^{-2}(\mu). \end{aligned} \quad (5.11)$$

On the other hand, from (3.5) we know how the couplings  $\tilde{g}_i^{-2}$  corresponding to charges  $\tilde{M}_i$  run with scale  $\mu$ . The non-trivial fact is that these two are consistent – the flop simply exchanges  $\tilde{M}_2 = M_3$  and  $\tilde{M}_3 = M_2$ , and this is consistent with (5.11).

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<sup>17</sup> The superpotential of the dual theory is not the same as in the original. As explained in [25], we can think of the flop as permuting the  $z'_i(t)$ , in this case exchanging  $z'_1(t)$  with  $z'_2(t)$ , which affects the superpotential as  $W_1(\Phi_1) \rightarrow -W_1(\Phi_1)$ , and  $W_2(\Phi_2) \rightarrow W_1(\Phi_2) + W_2(\Phi_2)$ .

Now consider the non-supersymmetric case. Let's still take  $M_1 = 0$ , but now with  $M_2 > 0 > M_3$ , such that (5.7) is satisfied. It is still the case that if we go to high enough energies, i.e. large enough  $\mu$ , the gauge coupling  $g_1^{-2}$  will become negative, and the corresponding  $S_1^2$  will undergo a flop. We can change the basis of 2-cycles as in (5.5) and (5.8) so that the couplings are all positive, and then the charges transform according to (5.10). Moreover, just as in the supersymmetric theory, after the flop the gauge couplings run exactly as they should given the new charges  $\widetilde{M}_i$ , which are again obtained by exchanging node two and three. Moreover, by varying the scale  $\Lambda_{N_1}$  where  $g_1^{-2}$  becomes strong, we can smoothly go over from one description to the other, just as in the supersymmetric case. For example, in the  $A_2$  case we have a non-supersymmetric duality relating a  $U(|N_1|) \times U(N_2)$  theory, where the rank  $N_1 = M_3$  is *negative* and  $N_2 = M_2 + M_3$  positive, which is a better description at low energies, to a  $U(\widetilde{N}_1) \times U(\widetilde{N}_2)$  theory with positive ranks  $\widetilde{N}_1 = N_2 - N_1 = M_2$  and  $\widetilde{N}_2 = N_2 = M_2 + M_3$ , which is a better description at high energies.

More generally, one can see that this will be the case in any of the ADE examples of the previous section. This is true regardless of whether all  $M_{I,p}$  are positive and supersymmetry is unbroken, or they have different signs and supersymmetry is broken. In the case where supersymmetry is broken, we have no gauge theory predictions to guide us, but it is still natural to *conjecture* the corresponding non-supersymmetric dualities based on holography. Whenever the charges are such that in going from low to high energies a root ends up being dualized

$$S_{i,p}^2 \rightarrow -S_{i,p}^2,$$

there should be a non-supersymmetric duality relating a brane/anti-brane system which is a better description at low energies to the one that is a better description at high energies, with charges transforming as in (5.2) and (5.3). The theories are dual in the sense that they flow to the same theory in the IR, and moreover, there is no sharp phase transition in going from one description to the other. This can be seen from the fact that by varying the strong coupling scales of the theory, one can *smoothly* interpolate between one description and the other being preferred at a given energy scale  $\mu$ . We don't expect these to correspond to gauge theory dualities (in the sense of theories with a finite number of degrees of freedom and a separation of scales), but we do expect them to be string theory dualities.

### 5.3. Dualizing an Occupied Root

When an occupied node gets dualized, negative ranks  $M < 0$  will appear. This is true even in the supersymmetric case. It is natural to wonder whether this is related to the appearance of non-supersymmetric vacua in a supersymmetric gauge theory. Conversely, starting with a non-supersymmetric vacuum at high energies, one may find that the good description at low energies involves all the charges being positive. We propose that when an occupied node gets dualized, there is essentially only one description which is ever really weakly coupled. In particular, “negative rank” gauge groups can appear formally but never at weak coupling. Moreover, while the supersymmetric gauge theories *can* have non-supersymmetric vacua, the phenomenon at hand is unrelated to that. This is in tune with the interpretation given in [25].

Consider the  $A_2$  theory in the supersymmetric case,  $\widetilde{M}_{1,2,3} > 0$ , with both gauge groups  $U(\widetilde{N}_{1,2})$  being asymptotically free. The  $U(\widetilde{N}_1) \times U(\widetilde{N}_2)$  theory gives a good description at low energies, for

$$\Lambda_{\widetilde{N}_1} \ll \Delta$$

where  $\Delta$  is the characteristic scale of the ALE space, and  $\Lambda_{\widetilde{N}_1}$  is the strong coupling scale of the  $U(\widetilde{N}_1)$  theory. Now consider adiabatically increasing the strong coupling scale until

$$\Lambda_{\widetilde{N}_1} \geq \Delta.$$

Then the  $U(N_1) \times U(N_2)$  description appears to be better at low energies, with  $N$ ’s related as in (5.9). Namely, from (5.11) we can read off that the strong coupling scales match up as  $\Lambda_{N_1} = \Lambda_{\widetilde{N}_1}$ , so at least formally this corresponds to a more weakly coupled, IR free  $U(N_1)$  theory. However, after dualizing node 1, its charge becomes negative

$$\widetilde{M}_1 = -M_1.$$

How is the negative rank  $M_1 < 0$  consistent with the theory having a supersymmetric vacuum?

The dual theory clearly cannot be a weakly coupled theory. A weakly coupled theory of branes and anti-branes breaks supersymmetry, whereas the solution at hand is supersymmetric. Instead, as we increase  $\Lambda_{N_1}$  and follow what happens to the supersymmetric solution, the scale  $\Lambda_{M_1}$  associated with gaugino condensation on node 1 increases as well,  $\Delta < \Lambda_{M_1} \sim \Lambda_{N_1}$ , and we find that at all energy scales below  $\Lambda_{M_1}$  we have a strongly

coupled theory, without a simple gauge theoretic description. The holographic dual theory of course *does* have a weakly coupled vacuum with charges  $M_1 < 0$ ,  $M_{2,3} > 0$ , which breaks supersymmetry. However, the gauge couplings in this vacuum run at high energies in a different way than in the supersymmetric  $U(N_1) \times U(N_2)$  gauge theory. As emphasized in [18], this means we *cannot* interpret this non-supersymmetric vacuum as a metastable state of the supersymmetric gauge theory.

We could alternatively start with a weakly coupled, non-supersymmetric  $A_2$  theory with  $M_1 < 0$ ,  $M_{2,3} > 0$ . If (5.7) is not satisfied, the theory is asymptotically free. Increasing the strong coupling scale  $\Lambda_{N_1}$  of this theory until  $\Lambda_{N_1} \sim \Delta$ , the theory becomes strongly coupled, and one is tempted to dualize it to a theory with  $\widetilde{M}_i > 0$  at lower energies. However, from the vacuum solutions in section 3, we can read off that, just as in the supersymmetric case, this implies that the scale  $\Lambda_{M_1}$  of the gaugino condensate of node 1 becomes larger than the scale  $\Delta$ , and no weakly coupled description exists. What is new in the non-supersymmetric case is that, as we have seen in section 3, increasing the strong coupling scale  $\Lambda_{M_1}$  to near  $\Delta$  causes the system to lose stability.

Nevertheless, we can formally extend the conjectured Seiberg dualities to all the supersymmetric and non-supersymmetric vacua even when the node that gets dualized is *occupied*, except that the dual description is, in one way or another, always strongly coupled.

## 6. A Very Simple Case

Let's now go back to the  $A_2$  case studied in section 3 and suppose that two of the masses are equal and opposite  $m_1 = -m_2 = -m$ , so<sup>18</sup>

$$z_1(t) = 0, \quad z_2(t) = -mt, \quad z_3(t) = -m(t - a). \quad (6.1)$$

It is easy to see from (2.4) that there are now only two critical points at  $t = 0$  and  $t = a$ , which get replaced by  $S_1^2$  and  $S_3^2$ . The third intersection point, which corresponds to the simple root  $S_2^2$ , is absent here, and so is the minimal area 2-cycle corresponding to it. We study this as a special case since now the prepotential  $\mathcal{F}_0$  can be given in closed form, so the theory can be solved exactly. This follows easily either by direct computation from the

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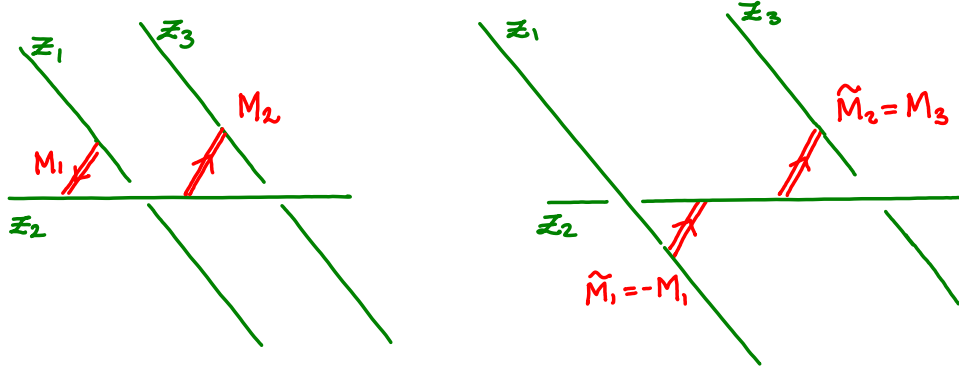
<sup>18</sup> More precisely, relative to the notation of that section, we've performed a flop here that exchanges  $z_1$  and  $z_2$ .

geometry, or from the corresponding matrix model (see appendix A). The large  $N$  dual geometry corresponds to the two  $S^2$ 's being replaced by two  $S^3$ 's:

$$x^2 + y^2 + z(z + mt)(z + m(t - a)) = s_1(z + ma) + s_3(z + m(t - a)).$$

The *exact* prepotential is given by

$$2\pi i \mathcal{F}_0(S) = \frac{1}{2} S_1^2 \left( \log\left(\frac{S_1}{m\Lambda_0^2}\right) - \frac{3}{2} \right) + \frac{1}{2} S_3^2 \left( \log\left(\frac{S_3}{m\Lambda_0^2}\right) - \frac{3}{2} \right) + S_1 S_3 \log\left(\frac{a}{\Lambda_0}\right). \quad (6.2)$$



**Fig. 3.** There are only two minimal  $S^2$ 's in the  $A_2$  geometry with  $m_1 = -m_2$ . The figure on the left corresponds to the first blowup discussed in the text, with two minimal  $S^2$ 's of intersection number  $+1$  in the ALE space wrapped by  $M_1$  anti-D5 branes and  $M_3$  D5 branes. The figure on the right is the flop of this.

We can now consider wrapping, say,  $M_1$  anti-branes on  $S_1^2$  and  $M_3$  branes on  $S_3^2$ . We get an exact vacuum solution at

$$\begin{aligned} \bar{S}_1^{|M_1|} &= \left( \overline{\Lambda_0^2 m} \right)^{|M_1|} \left( \frac{a}{\Lambda_0} \right)^{-|M_3|} \exp(-2\pi i \alpha_1), \\ S_3^{|M_3|} &= \left( \Lambda_0^2 m \right)^{|M_3|} \left( \frac{\bar{a}}{\Lambda_0} \right)^{-|M_1|} \exp(-2\pi i \alpha_3), \end{aligned}$$

where the potential between the branes is given by

$$V_* = \frac{|M_1|}{g_1^2} + \frac{|M_3|}{g_3^2} + \frac{1}{2\pi} |M_1| |M_3| \log\left(\left|\frac{a}{\Lambda_0}\right|\right).$$

Using an analysis identical to that in [1], it follows that the solution is *always* stable, at least in perturbation theory. Borrowing results from [1], the masses of the four bosons corresponding to fluctuations of  $S_{1,3}$  are given by

$$(m_{\pm}(c))^2 = \frac{(a^2 + b^2 + 2abcv) \pm \sqrt{(a^2 + b^2 + 2abcv)^2 - 4a^2b^2(1-v)^2}}{2(1-v)^2} \quad (6.3)$$

and the masses of the corresponding fermions are

$$|m_{\psi_1}| = \frac{a}{1-v}, \quad |m_{\psi_2}| = \frac{b}{1-v} \quad (6.4)$$

where  $c$  takes values  $c = \pm 1$ , and

$$a = \left| \frac{M_1}{2\pi\Lambda_1^3 \text{Im}\tau_{11}} \right|, \quad b = \left| \frac{M_3}{2\pi\Lambda_3^3 \text{Im}\tau_{33}} \right|. \quad (6.5)$$

The parameter controlling the strength of supersymmetry breaking  $v$  is defined by

$$v = \frac{(\text{Im}\tau_{13})^2}{\text{Im}\tau_{11}\text{Im}\tau_{33}}.$$

That  $v$  controls the supersymmetry breaking can be seen here from the fact that at  $v = 0$ , the masses of the four real bosons become degenerate in pairs, and match up with the fermion masses [1]. The masses of bosons are strictly positive since the metric on the moduli space  $\text{Im}\tau$  is positive definite, which implies

$$1 > v \geq 0$$

$$\Lambda_{N_{1,2}} \ll a$$

where  $\Lambda_{N_{1,2}}$  is the scale at which the gauge coupling  $g_{1,2}^{-2}$  becomes strong.<sup>19</sup>

The fact that the system is stable perturbatively is at first sight surprising, since from the open string description one would expect that for sufficiently small  $a$  an instability develops, ultimately related to the tachyon that appears when the brane separation is below the string scale. In particular, we expect the instability to occur when the coupling on the branes becomes strong enough that the Coulomb attraction overcomes the tension effects from the branes. However, it is easy to see that there is *no* stable solution for small  $a$ . As we decrease  $a$ , the solution reaches the boundary of the moduli space,

$$\Lambda_0 \exp\left(-\frac{1}{g_{1,3}^2 |M_{3,1}|}\right) < a,$$

where  $\text{Im}\tau$  is positive definite, *before* the instability can develop.<sup>20</sup> Namely, if we view  $\Lambda_0$  as a cutoff on how much energy one has available, then for a stable solution to exist at

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<sup>19</sup> From the solution, one can read off, e.g.,  $g_1^{-2} = -(2|M_1| + |M_3|) \log(\frac{\Lambda_{N_1}}{\Lambda_0})$ .

<sup>20</sup> Since  $\text{Im}(\tau)$  is a symmetric real matrix of rank two, a necessary condition for the eigenvalues to be positive is that the diagonal entries are positive. The equation we are writing corresponds to the positivity of the diagonal entries of  $\text{Im}(\tau)$  evaluated at the critical point. For weak gauge coupling, this is also the sufficient condition.



fixed coupling, the branes have to be separated by more than  $\sim \Lambda_0$ , and said minimum separation increases as one moves towards stronger coupling. The couplings, however, do run with energy, becoming weaker at higher  $\Lambda_0$ , and because of that the lower bound on  $a$  actually *decreases* with energy. Alternatively, as we will discuss in the next subsection, there is a lower bound on how small  $|a|$  can get, set by the strong coupling scales  $\Lambda_{N_{1,2}}$  of the brane theory. When this bound is violated, the dual gravity solution disappears.

The fact that the system is perturbatively stable should be related to the fact that in this case there is no compact  $B$  cycle. Namely, in section 3 we have seen that when perturbative stability is lost, the system rolls down to a new minimum corresponding to shrinking a compact  $B$ -cycle without flux through it. In this case, such a compact  $B$ -cycle is absent, so the system has no vacuum it can roll away to, and correspondingly it remains perturbatively stable.

The theory has another vacuum with the same charges, which can have lower energy. This vacuum is not a purely closed string vacuum, but it involves branes. Consider, for example, the case with  $M_1 = -M_3 = -M$ . In this case, the brane/anti-brane system should be exactly stable for large enough separation  $a$ . However, when  $a$  becomes small enough, it should be energetically favorable to decay to a system with simply  $M$  branes on  $S_2^2$ , which is allowed by charge conservation. This should be the case whenever

$$A(S_2^2) \leq A(S_1^2) + A(S_3^2)$$

where the areas on the right hand side refer to those of the minimal  $S^2$ 's at the critical points of  $W_1'(t) = z_1(t) - z_2(t)$  and  $W_3'(t) = z_1(t) - z_3(t)$ ,

$$A(S_1^2) = |r_1|, \quad A(S_3^2) = |r_1| + |r_2|.$$

In the class of  $S_2^2$ , there is no holomorphic 2-cycle, as  $W_2'(t) = z_2(t) - z_3(t) = -ma$  never vanishes, so

$$A(S_2^2) = \sqrt{|r_2|^2 + |ma|^2}. \quad (6.6)$$

Clearly, when  $a$  is sufficiently small, the configuration with  $M$  branes on  $S_2^2$  should correspond to the ground state of the system. If instead  $M_{1,3}$  are generic, we end up with a vacuum with intersecting branes, studied recently in [21]. Here one has additional massless matter coming from open strings at intersection of the branes, and correspondingly there is no gaugino condensation and no closed string dual. As a result, the methods based on holography we use here have nothing to say about this vacuum.

### 6.1. A Stable Non-Supersymmetric Vacuum

Consider now the flop of the simple  $A_2$  singularity of the previous sub-section, where  $z_1$  and  $z_2$  get exchanged,

$$\tilde{z}_1(t) = -mt, \quad \tilde{z}_2(t) = 0, \quad \tilde{z}_3(t) = -m(t - a),$$

and where

$$S_1^2 \rightarrow \tilde{S}_1^2 = -S_1^2.$$

We now wrap  $\tilde{M}_1 < 0$  anti-branes on  $\tilde{S}_1^2$  and  $\tilde{M}_2 > 0$  branes on  $\tilde{S}_2^2$ . In this case, one would expect the system to have a stable, non-supersymmetric vacuum for *any* separation between the branes. This is the case because the system has nowhere to which it can decay. Suppose we wrap one anti-brane on  $\tilde{S}_1^2$  and one brane on  $\tilde{S}_2^2$ . If a cycle  $C$  exists such that

$$C = -\tilde{S}_1^2 + \tilde{S}_2^2 \tag{6.7}$$

then the brane/anti-brane system can decay to a brane on  $C$ . In the present case, such a  $C$  does not exist. The reason for that is the following. On the one hand, all the curves in this geometry come from the ALE space fibration, and moreover all the  $S^2$ 's in the ALE space have self intersection number  $-2$ . On the other hand, because the intersection number of  $\tilde{S}_1^2$  and  $\tilde{S}_2^2$  is  $+1$ , (6.7) would imply that the self intersection of  $C$  is  $-6$ . So, the requisite  $C$  cannot exist. The vacuum is, in fact, both perturbatively and non-perturbatively stable; we will see that the holographic dual theory has no perturbative instabilities for *any* separation between the branes.

Because the  $z$ 's have been exchanged and the geometry is now different; we get a new prepotential  $\tilde{\mathcal{F}}_0$  and effective superpotential

$$\mathcal{W}_{\text{eff}} = \sum_{i=1,2} \tilde{\alpha}_i \tilde{S}_i + \tilde{M}_i \partial_{\tilde{S}_i} \tilde{\mathcal{F}}_0(\tilde{S}) \tag{6.8}$$

where

$$2\pi i \mathcal{F}_0(\tilde{S}) = \frac{1}{2} \tilde{S}_1^2 \left( \log\left(\frac{\tilde{S}_1}{(-m)\Lambda_0^2}\right) - \frac{3}{2} \right) + \frac{1}{2} \tilde{S}_2^2 \left( \log\left(\frac{\tilde{S}_2}{m\Lambda_0^2}\right) - \frac{3}{2} \right) - \tilde{S}_1 \tilde{S}_2 \log\left(\frac{a}{\Lambda_0}\right).$$

Alternatively, we should be able to work with the old geometry and prepotential (6.2), but adjust the charges and the couplings consistently with the flop. The charges and the couplings of the two configurations are related by

$$\tilde{M}_1 = -M_1, \quad \tilde{M}_2 = M_3, \tag{6.9}$$

where  $M_{1,3}$  are now both positive, and

$$\tilde{g}_1^{-2} = -g_1^{-2}, \quad \tilde{g}_2^{-2} = g_3^{-2}, \quad (6.10)$$

where  $g_1^{-2}$  is now negative. The effective superpotential is

$$\mathcal{W}_{\text{eff}} = \sum_{i=1,3} \alpha_i S_i + M_i \partial_{S_i} \mathcal{F}_0(S), \quad (6.11)$$

in terms of the old prepotential (6.2). Indeed, the two are related by  $\mathcal{F}_0(S_1, S_3) = \tilde{\mathcal{F}}_0(\tilde{S}_1, \tilde{S}_2)$  and a simple change of variables

$$\tilde{S}_1 = -S_1, \quad \tilde{S}_2 = S_3,$$

leaves the superpotential invariant. The critical points of the potential associated to (6.11) with these charges are

$$\begin{aligned} \tilde{\tilde{S}}_1^{|\tilde{M}_1|} &= \left( \overline{\Lambda_0^2 m} \right)^{|\tilde{M}_1|} \left( \frac{a}{\Lambda_0} \right)^{|\tilde{M}_2|} \exp(-2\pi i \alpha_1) \\ \tilde{S}_2^{|\tilde{M}_2|} &= \left( \Lambda_0^2 m \right)^{|\tilde{M}_2|} \left( \frac{a}{\Lambda_0} \right)^{|\tilde{M}_1|} \exp(-2\pi i \alpha_2) \end{aligned}$$

with effective potential at the critical point

$$V_* = \frac{|\tilde{M}_1|}{\tilde{g}_1^2} + \frac{|\tilde{M}_2|}{\tilde{g}_2^2} - \frac{1}{2\pi} |\tilde{M}_1| |\tilde{M}_2| \log \left( \left| \frac{a}{\Lambda_0} \right| \right).$$

The masses of the bosons in this vacuum are again given by (6.3)(6.5) with the obvious substitution of variables. Just as in the previous subsection, the masses are positive in any of these vacua. Moreover, because there are no two-loop corrections to the prepotential, as we have seen in section 3, the vacuum is stable as long as the metric remains positive definite. In the previous section, we expected an instability for small enough  $a$ , and found that the perturbatively stable non-supersymmetric solution escapes to the boundary of the moduli space (defined as the region where  $\text{Im}\tau$  is positive definite) when this becomes the case. In this case, we do not expect any instability for any  $a$ , as there is nothing for the vacuum to decay to. Indeed, we find that  $\text{Im}\tau$  is now positive definite for any  $a \neq 0$ .

The vacuum is stable perturbatively and non-perturbatively – there simply are no lower energy states with the same charges available to which this can decay. So, this gives an example of an exactly stable, non-supersymmetric vacuum in string theory, albeit

without four dimensional gravity.<sup>21</sup> Moreover, since in this case there are no tachyons in the brane/anti-brane system, this should have a consistent limit where we decouple gravity and stringy modes, and are left with a pure, non-supersymmetric, confining gauge theory, with a large  $N$  dual description. This is currently under investigation [46].

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### Appendix A. Matrix Model Computation

Using large  $N$  duality in the B model topological string [33], the prepotential  $\mathcal{F}_0$  of the Calabi-Yau manifolds studied in this paper can be computed using a matrix model describing branes on the geometry before the transition. The same matrix model [31] captures the dynamics of the glueball fields  $S$  in the  $\mathcal{N} = 1$  supersymmetric gauge theory in space-time, dual to the Calabi-Yau with fluxes in the physical superstring theory. In this appendix, we use these matrix model/gauge theory techniques to compute the prepotential for Calabi-Yau manifolds which are  $A_2$  fibrations with quadratic superpotentials, as studied in sections 3 and 6. To our knowledge, this computation has not previously been carried out.

The matrix model is a  $U(N_1) \times U(N_2)$  quiver with Hermitian matrices  $\Phi_1$  and  $\Phi_2$  which transform in the adjoint of the respective gauge groups, and bifundamentals  $Q$  and  $\tilde{Q}$  which correspond to the bifundamental hypermultiplets coming from 12 and 21 strings. The relevant matrix integral is then given by

$$Z = \frac{1}{\text{vol}(U(N_1) \times U(N_2))} \int d\Phi_1 d\Phi_2 dQ d\tilde{Q} \exp\left(\frac{1}{g_s} \text{Tr} \mathcal{W}(\Phi_1, \Phi_2, Q, \tilde{Q})\right)$$

where  $\mathcal{W}$  is the superpotential of the corresponding  $\mathcal{N} = 1$  quiver gauge theory, given by

$$\mathcal{W} = \text{Tr} W_1(\Phi_1) + \text{Tr} W_2(\Phi_2) + \text{Tr}(\tilde{Q}\Phi_1 Q) - \text{Tr}(Q\Phi_2 \tilde{Q}) \quad (\text{A.1})$$

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<sup>21</sup> This fact has been noted in [28].

with

$$\text{Tr}W_1(\Phi_1) = -\frac{m_1}{2}\text{Tr}(\Phi_1 - a_1\text{id}_{N_1})^2, \quad \text{Tr}W_2(\Phi_2) = -\frac{m_2}{2}\text{Tr}(\Phi_2 - a_2\text{id}_{N_2})^2.$$

The saddle points of the integral correspond to breaking the gauge group as

$$U(N_1) \times U(N_2) \rightarrow U(M_1) \times U(M_2) \times U(M_3) \quad (\text{A.2})$$

where

$$N_1 = M_1 + M_3, \quad N_2 = M_2 + M_3,$$

by taking as expectation values of the adjoints and bifundamentals to be

$$\Phi_{1,*} = \begin{pmatrix} a_1 \text{id}_{M_1} & 0 \\ 0 & a_3 \text{id}_{M_3} \end{pmatrix}, \quad \Phi_{2,*} = \begin{pmatrix} a_2 \text{id}_{M_2} & 0 \\ 0 & a_3 \text{id}_{M_3} \end{pmatrix}$$

where  $a_3 = (m_1 a_1 + m_2 a_2)/(m_1 + m_2)$ , and

$$(Q\tilde{Q})_* = \begin{pmatrix} 0 & 0 \\ 0 & -W'_1(a_3) \text{id}_{M_3} \end{pmatrix}, \quad (\tilde{Q}Q)_* = \begin{pmatrix} 0 & 0 \\ 0 & W'_2(a_3) \text{id}_{M_3} \end{pmatrix},$$

where  $-W'_1(a_3) = m_1(a_1 - a_3) = W'_2(a_3)$ .

Now let's consider the Feynman graph expansion about this vacuum. The end result is a very simple path integral. However, to get there, we need to properly implement the gauge fixing (A.2), and this is somewhat laborious. It is best done in two steps. First, consider fixing the gauge that simply reduces  $U(N_{1,2})$  to  $U(M_{1,2}) \times U(M_3)$ . This follows [35] directly. Let

$$\Phi_1 = \begin{pmatrix} \Phi_{11}^1 & \Phi_{13}^1 \\ \Phi_{31}^1 & \Phi_{33}^1 \end{pmatrix}.$$

To set the  $M_1 \times M_3$  block in  $\Phi_1$  to zero

$$F_1 = \Phi_{13}^1 = 0$$

we insert the identity into the path integral in the form

$$\text{id} = \int d\Lambda \delta(F_1) \text{Det}\left(\frac{\delta F_1}{\delta \Lambda}\right),$$

where the integral is over those gauge transformations not in  $U(M_1) \times U(M_3)$ . The determinant can be expressed in terms of two pairs of ghosts,  $B_{13}, B_{31}$  and  $C_{31}, C_{13}$ , which are anti-commuting bosons, as

$$\begin{aligned} \text{Det}\left(\frac{\delta F_1}{\delta \Lambda}\right) &= \int dB_{13} dC_{31} dB_{31} dC_{13} \exp\left(\frac{1}{g_s} \text{Tr}(B_{13} \Phi_{33}^1 C_{31} - C_{31} \Phi_{11}^1 B_{13})\right) \\ &\quad \exp\left(\frac{1}{g_s} \text{Tr}(B_{31} \Phi_{11}^1 C_{13} - C_{13} \Phi_{33}^1 B_{31})\right). \end{aligned}$$

By an identical argument, we can gauge fix the second gauge group factor

$$U(N_2) \rightarrow U(M_1) \times U(M_3)$$

to set the  $M_2 \times M_3$  block of  $\Phi_2$  to zero. We do this by again inserting the identity into the path integral, but now with the determinant replaced by

$$\begin{aligned} \text{Det}\left(\frac{\delta F_2}{\delta \Lambda}\right) = \int dB_{23}dC_{32}dB_{32}dC_{23} \exp\left(\frac{1}{g_s}\text{Tr}(B_{23}\Phi_{33}^2C_{32} - C_{32}\Phi_{22}^2B_{23})\right) \\ \exp\left(\frac{1}{g_s}\text{Tr}(B_{32}\Phi_{22}^2C_{23} - C_{23}\Phi_{33}^2B_{32})\right). \end{aligned}$$

Finally, since the vacuum will break the two copies of  $U(M_3)$  to a single copy, we need to gauge fix that as well. To do this, we'll fix a gauge

$$F_3 = Q_{33} - q \text{ id} = 0$$

where  $Q_{33}$  refers to the 33 block of  $Q$ , and *integrate* over  $q$ . This is invariant under the diagonal  $U(M_3)$  only. To implement this, insert the identity in the path integral, written as

$$\text{id} = \int d\Lambda_{33} \oint \frac{dq}{q} \delta(Q_{33} - q \text{id}) q^{M_3^2}.$$

The above is the identity since

$$\text{Det}\left(\frac{\delta F_3}{\delta \Lambda_{33}}\right) = q^{M_3^2},$$

and we have taken the  $q$ -integral to be around  $q = 0$ . Inserting this, we can integrate out  $Q_{33}$ , and  $\tilde{Q}_{33}$ . The  $Q_{33}$  integral sets it to equal  $q$ . The  $\tilde{Q}_{33}$  integral is a delta function setting

$$\Phi_{33}^1 = \Phi_{33}^2, \tag{A.3}$$

but there is a left over factor of  $q^{-M_3^2}$  from the Jacobian of  $\delta(q(\Phi_{33}^1 - \Phi_{33}^2))$ . Integrating over  $q$  gives simply 1.

The remaining fields include a pair of regular bosons  $Q_{13}$ ,  $\tilde{Q}_{31}$  in the bifundamental representation of  $U(M_1) \times U(M_3)$  and a pair of ghosts  $C_{13}$ ,  $B_{31}$ , with *exactly* the same interactions. Consequently, we can integrate them out *exactly* and their contribution is simply 1. This also happens for  $Q_{32}$ ,  $\tilde{Q}_{23}$  and  $B_{23}$ ,  $C_{32}$ , which also cancel out. We are left with the spectrum presented in section 3 which very naturally describes branes with open strings stretching between them.

### A.1. A Special Case

In the special case when  $m_2 = -m_1 = m$ , the matrix integral is one-loop exact. To begin with, the effective superpotential is given by (A.1) with

$$\mathrm{Tr} W_1(\Phi_1) = -\frac{m}{2} \mathrm{Tr}(\Phi_1)^2, \quad \mathrm{Tr} W_2(\Phi_2) = \frac{m}{2} \mathrm{Tr}(\Phi_2 - a \mathrm{id}_{N_2 \times N_2})^2.$$

The theory now has only one vacuum, where  $\Phi_1$  and  $Q, \tilde{Q}$  vanish, and

$$\Phi_2 = a \mathrm{id}_{N_2 \times N_2}.$$

Expanding about this vacuum, the superpotential can be re-written as

$$\mathcal{W}_{\mathrm{eff}} = -\frac{m}{2} \mathrm{Tr} \Phi_1^2 + \frac{m}{2} \mathrm{Tr} \Phi_2^2 - a \mathrm{Tr} Q \tilde{Q} + \mathrm{Tr}(\tilde{Q} \Phi_1 Q - Q \Phi_2 \tilde{Q}).$$

If we now redefine

$$\tilde{\Phi}_1 = \Phi_1 + \frac{1}{m} Q \tilde{Q}, \quad \tilde{\Phi}_2 = \Phi_2 + \frac{1}{m} \tilde{Q} Q,$$

the superpotential becomes quadratic in all variables, and the planar free energy is given by the exact expression:

$$\mathcal{F}_0 = \frac{S_1^2}{2} \left( \log \frac{S_1}{m \Lambda_0^2} - \frac{3}{2} \right) + \frac{S_2^2}{2} \left( \log \frac{S_2}{(-m) \Lambda_0^2} - \frac{3}{2} \right) - S_1 S_2 \log \frac{a}{\Lambda_0}$$

There are higher genus corrections to this result, but they all come from the volume of the  $U(N)$  gauge groups, and receive no perturbative corrections.

## Appendix B. Geometrical calculation of the Prepotential

One can derive the same prepotential by direct integration. We only sketch the computation here. The equation for the geometry (3.2) can be rewritten

$$\begin{aligned} x^2 + y^2 + z(z - m_1(t - a_1))(z + m_2(t - a_2)) = \\ - s_1 m_1(z + m_2(t - a_2)) - s_2 m_2(z - m_1(t - a_1)) - s_3 m_3 z. \end{aligned} \tag{B.1}$$

Here  $s_i$  are deformation parameters. This is a convenient rewriting of (3.2) because we will find that the periods of the compact cycles are given by  $S_i = s_i + \mathcal{O}(S^2)$ . As mentioned in the main text, the holomorphic three-form  $\Omega$  of the Calabi-Yau descends to a one-form

defined on the Riemann surface obtained by setting  $x = y = 0$  in (B.1). The equation for the Riemann surface is thus

$$-1 = \frac{m_1 s_1}{z(z - m_1(t - a_1))} + \frac{m_2 s_2}{z(z + m_2(t - a_2))} + \frac{m_3 s_3}{(z - m_1(t - a_1))(z + m_2(t - a_2))}. \quad (\text{B.2})$$

The one-form can be taken to be  $\omega = zdt - t dz$ . The one-form is only defined up to a total derivative; a total derivative changes only the periods of the non-compact cycles, and our choice avoids quadratic divergences in the non-compact periods. These divergences would not contribute to physical quantities in any case. The equation for the Riemann surface is a cubic equation for  $z(t)$ , so the Riemann surface has three sheets, which are glued together along branch cuts. The compact periods are given by integrals around the cuts, while the non-compact periods are given by integrals from the cuts out to a cutoff, which we take to be  $t = \Lambda_0$ .

It is convenient to make the change of variables

$$u = \frac{-t + a_1 + z/m_1}{a_{21}} \quad v = -z \frac{m_3}{a_{21} m_1 m_2} \quad (\text{B.3})$$

where  $a_{21} = a_2 - a_1$ . In the new variables, the equation for the Riemann surface takes the simple form

$$1 = \frac{s_1}{\Delta^3} \frac{1}{uv} - \frac{s_2}{\Delta^3} \frac{1}{v(u + v + 1)} + \frac{s_3}{\Delta^3} \frac{1}{u(u + v + 1)} \quad (\text{B.4})$$

with  $\Delta^3 = (a_2 - a_1)^2 m_1 m_2 / m_3$  as in the main text. The change of variables is symplectic up to an overall factor, so in the new variables the one-form becomes

$$\omega = \Delta^3 (u dv - v du). \quad (\text{B.5})$$

The change of variables makes it clear that we can think of the problem as having one dimensionful scale  $\Delta$ , and three dimensionless quantities,  $s_i/\Delta^3$ , which we will take to be small. There are many other dimensionless quantities in the problem, such as  $m_i/m_j$ , but they do not appear in the rescaled equations so they will not appear in the periods, with one small caveat. While the equation for the Riemann surface and the one-form only depend on  $\Delta$  and  $s_i/\Delta^3$ , the cutoff is defined in terms of the original variables,  $t = \Lambda_0$ , so the cutoff dependent contributions to the periods can depend on the other parameters.

We sketch how to compute one compact period and one non-compact period. Though it is not manifest in our equations, the problem has a complete permutation symmetry



among  $(s_1, s_2, -s_3)$ , so this is actually sufficient. One compact cycle (call it  $S_1$ ) is related to the region in the geometry where  $u$  and  $v$  are small, so that to a first approximation

$$1 \approx \frac{s_1}{\Delta^3} \frac{1}{uv}. \quad (\text{B.6})$$

We expand (B.4) for small  $u, v$  to get

$$uv = \frac{s_1}{\Delta^3} - \frac{s_2}{\Delta^3} u(1 - u - v) + \frac{s_3}{\Delta^3} v(1 - u - v) + \dots \quad (\text{B.7})$$

This will be sufficient for the order to which we are working, and the equation is quadratic. We could solve for  $u(v)$  or  $v(u)$  in this regime; we would find a branch cut and integrate the one-form around it. Equivalently, we can do a two dimensional integral

$$S_1 = \Delta^3 \int du \wedge dv \quad (\text{B.8})$$

over the region bounded by the Riemann surface (this is Stokes' Theorem). One can derive a general formula for the integral over a region bounded by a quadratic equation by changing coordinates so that it is the integral over the interior of a circle. In this case, the result is

$$S_1 = s_1 + \frac{1}{\Delta^3} (s_1 s_2 - s_1 s_3 - s_2 s_3) + \mathcal{O}\left(\frac{s^3}{\Delta^6}\right). \quad (\text{B.9})$$

The permutation symmetry of the problem then determines the other compact periods.

Now we compute the integral over the cycle dual to  $S_1$ . The contour should satisfy  $uv \approx s_1/\Delta^3$  and go to infinity. Also, the contour must intersect the compact 1-cycle in a point. A contour which satisfies these criteria is to take  $u, v$  to be real and positive (this choice works as long as the  $s_i$  are real and positive, but the result will be general). We will need two different perturbative expansions to do this integral: one for small  $u$  and the other for small  $v$ . Since we have  $uv \approx s_1/\Delta^3$ , we will need a “small  $u$ ” expansion which is valid up to  $u \sim \sqrt{s_1/\Delta^3}$ , and similarly for the small  $v$  expansion.

To expand for small  $v$ , we first multiply (B.4) through by  $v$  to get

$$v = \frac{s_1}{\Delta^3} \frac{1}{u} - \frac{s_2}{\Delta^3} \frac{1}{1 + u + v} + \frac{s_3}{\Delta^3} \frac{v}{u(1 + u + v)}$$

We now solve perturbatively for  $v(u)$ , using the fact that throughout the regime of interest  $v \ll 1 + u$ . The largest that  $v/(1 + u)$  gets in this regime is

$$\frac{v}{1 + u} < \sqrt{\frac{s_1}{\Delta^3}}.$$

To zeroth order in  $v/(1+u)$ ,

$$v^{(0)} = \frac{s_1}{\Delta^3} \frac{1}{u} - \frac{s_2}{\Delta^3} \frac{1}{1+u}. \quad (\text{B.10})$$

To first order,

$$v^{(1)} = \frac{s_1}{\Delta^3} \frac{1}{u} - \frac{s_2}{\Delta^3} \frac{1}{1+u+v^{(0)}} + \frac{s_3}{\Delta^3} \frac{v^{(0)}}{u(1+u)},$$

which upon expanding becomes

$$v^{(1)} = \frac{s_1}{\Delta^3} \frac{1}{u} - \frac{s_2}{\Delta^3} \left( \frac{1}{1+u} - \frac{v^{(0)}}{(1+u)^2} \right) + \frac{s_3}{\Delta^3} \frac{v^{(0)}}{u(1+u)}. \quad (\text{B.11})$$

We need keep one more order in the perturbative expansion in order to get the prepotential to the desired order:

$$v^{(2)} = \frac{s_1}{\Delta^3} \frac{1}{u} - \frac{s_2}{\Delta^3} \frac{1}{1+u+v^{(1)}} + \frac{s_3}{\Delta^3} \frac{v^{(1)}}{u(1+u+v^{(0)})}$$

which upon expanding becomes

$$v^{(2)} = \frac{s_1}{\Delta^3} \frac{1}{u} - \frac{s_2}{\Delta^3} \left[ \frac{1}{1+u} - \frac{v^{(1)}}{(1+u)^2} + \frac{(v^{(0)})^2}{(1+u)^3} \right] + \frac{s_3}{\Delta^3} \left[ \frac{v^{(1)}}{u(1+u)} - \frac{(v^{(0)})^2}{(1+u)^2} \right] \quad (\text{B.12})$$

Note that using (B.10)(B.11), this is an explicit equation for  $v(u)$ . We could similarly expand to find  $u(v)$  in the regime of small  $u$ , but actually we can save ourselves the computation by noting that the equation for the Riemann surface is invariant under  $u \leftrightarrow v, s_2 \leftrightarrow -s_3$ . We are now in a position to perform the integral of the one-form  $\omega$  over the contour. We use the approximation (B.12) for the part of the integral where  $v$  is small, and the corresponding formula for  $u(v)$  for the part of the integral where  $u$  is small. We can choose to go over from one approximation to another at a point  $u_{\min} = v_{\min}$ . Such a point will be approximately  $u_{\min} = \sqrt{s_1/\Delta^3}$ , but we need a more precise formula. By setting  $u = v$  in the equation for the Riemann surface, and perturbing around  $u_{\min} = \sqrt{s_1/\Delta^3}$ , we find

$$u_{\min}^2 = \frac{s_1}{\Delta^3} - \frac{s_2 - s_3}{\Delta^3} \sqrt{\frac{s_1}{\Delta^3}} + \frac{(s_2 - s_3)^2}{2\Delta^6} + \frac{2s_1(s_2 - s_3)}{\Delta^6} + \dots$$

We spare the reader the details of the integration. The result is cutoff dependent, and we assume that the cutoff is sufficiently large so that we can drop contributions which

depend inversely on the cutoff. After doing the integral, we rewrite the result in terms of the compact periods  $S_i$  using (B.9). The result is:

$$\begin{aligned}\partial_{S_1}\mathcal{F}_0 &= (S_1 - S_2)\log u_{\max} + (S_1 + S_3)\log v_{\max} - (S_1\log\frac{S_1}{\Delta^3} - S_1) \\ &\quad - \frac{1}{\Delta^3}\left(\frac{1}{2}S_2^2 + \frac{1}{2}S_3^2 + S_1S_2 - S_1S_3 - 3S_2S_3\right) + \mathcal{O}\left(\frac{S^3}{\Delta^6}\right).\end{aligned}$$

Here  $u_{\max}$  and  $v_{\max}$  are cutoffs at large  $u, v$ . Since our cutoff is  $t = \Lambda_0$ , we can solve for  $u_{\max}, v_{\max}$ . When  $u$  is large,  $v$  is small, since  $uv \approx S_1/\Delta^3$ . Looking back at the change of variables (B.3), we find

$$u_{\max} = \frac{\Lambda_0}{a_{21}} \quad v_{\max} = \frac{\Lambda_0 m_3}{a_{21} m_2} = \frac{\Lambda_0}{a_{31}}$$

Again, the other non-compact periods are determined by symmetry. It is now a simple matter to find  $\mathcal{F}_0$ :

$$\begin{aligned}2\pi i\mathcal{F}_0 &= \frac{1}{2}S_1^2\log\frac{\Lambda_0^2}{a_{21}a_{31}} + \frac{1}{2}S_2^2\log\frac{\Lambda_0^2}{a_{21}a_{23}} + \frac{1}{2}S_3^2\log\frac{\Lambda_0^2}{a_{31}a_{23}} \\ &\quad - S_1S_2\log\frac{\Lambda_0}{a_{21}} + S_1S_3\log\frac{\Lambda_0}{a_{31}} + S_2S_3\log\frac{\Lambda_0}{a_{23}} \\ &\quad - \frac{1}{2}S_1^2\left(\log\frac{S_1}{\Delta^3} - \frac{3}{2}\right) - \frac{1}{2}S_2^2\left(\log\frac{S_2}{\Delta^3} - \frac{3}{2}\right) - \frac{1}{2}S_3^2\left(\log\frac{S_3}{\Delta^3} - \frac{3}{2}\right) \\ &\quad - \frac{1}{2\Delta^3}(S_1S_2^2 + S_1^2S_2 + S_1S_3^2 - S_1^2S_3 + S_2S_3^2 - S_2^2S_3 - 6S_1S_2S_3) + \mathcal{O}\left(\frac{S^4}{\Delta^6}\right).\end{aligned}$$

This result agrees with the matrix model computation of appendix A. Recall that we dropped terms which depend inversely on the cutoff. More precisely, we dropped contributions to the non-compact period of the form  $S_i|a_{12}|/\Lambda_0$ . This is necessary in order to match the result of the matrix model computation. In particular, in order to justify keeping the corrections we do keep, we require

$$\frac{S_i}{\Delta^3} \gg \frac{|a_{12}|}{\Lambda_0}. \quad (\text{B.13})$$

## Appendix C. The Hessian at Two Loops

The equations required to analyze stability simplify if we introduce the notation

$$u^a \equiv iG^{ab}\alpha_b.$$

Since we are taking the  $\alpha_i$  to be pure imaginary,  $u^a$  will be real and positive. Furthermore, since we are taking  $\tau_{ab}$  to be pure imaginary, we can replace it with the metric,  $\tau_{ab} = iG_{ab}$ . Then the equation of motion (3.6) takes the simple form

$$\frac{1}{2}i\mathcal{F}_{kab}(u^a u^b - M^a M^b) = 0. \quad (\text{C.1})$$

At one-loop, the third derivative of the prepotential is nonzero only if all of the derivatives are with respect to the same variable, so at one-loop the solutions are  $u^a = \pm M^a$ . As discussed earlier, the physically relevant solutions are

$$u^a = |M^a|. \quad (\text{C.2})$$

This is just a rewriting of the one-loop solutions (3.8) in terms of the new notation.

At two loops, we can find the solution by perturbing around the one-loop result. Let  $u^a = |M^a| + \delta^a$ . We find that

$$\delta^k = \frac{1}{2|M^k|\mathcal{F}_{kkk}}\mathcal{F}_{kab}(-|M^a||M^b| + M^a M^b). \quad (\text{C.3})$$

Having solved the equations of motion at two loops, we proceed to the Hessian, providing less detail. Assuming the same reality conditions, the matrices of second derivatives are given by

$$\partial_k \partial_l V = \partial_{\bar{k}} \partial_{\bar{l}} V = \frac{1}{2} (i\mathcal{F}_{abkl} + i\mathcal{F}_{cak} i\mathcal{F}_{dbl} G^{cd}) (u^a u^b - M^a M^b), \quad (\text{C.4})$$

$$\partial_k \partial_{\bar{l}} V = \partial_{\bar{k}} \partial_l V = \frac{1}{2} i\mathcal{F}_{cak} i\mathcal{F}_{dbl} G^{cd} (u^a u^b + M^a M^b). \quad (\text{C.5})$$

The relations between the different mixed partial derivatives arise because we are perturbing about a real solution.

At two loops, taking four derivatives of the prepotential gives zero unless all of the derivatives are with respect to the same variable, so the first term in (C.4) can be simplified as

$$i\mathcal{F}_{abkl}(u^a u^b - M^a M^b) = \delta_{kl} i\mathcal{F}_{kkkk}(u^k u^k - M^k M^k) = 2\delta_{kl} i\mathcal{F}_{kkkk} |M^k| \delta^k. \quad (\text{C.6})$$

Though it is not obvious at this stage, the other terms on the right hand side can be approximated by their one-loop value in the regime of interest. This is very useful because,

as mentioned previously, at one-loop the third derivatives of the prepotential vanish unless all indices are the same. With these simplifications, the nonzero second derivatives become

$$(\partial_a + \partial_{\bar{a}})(\partial_b + \partial_{\bar{b}})V = \sum_c 2i\mathcal{F}_{aaa}i\mathcal{F}_{ccc}G^{ac}|M^a||M^c| \left( \delta_{cb} + G_{cb} \frac{i\mathcal{F}_{bbbb}\delta^b}{i\mathcal{F}_{bbb}i\mathcal{F}_{ccc}|M^c|} \right), \quad (\text{C.7})$$

$$(\partial_a - \partial_{\bar{a}})(-\partial_b + \partial_{\bar{b}})V = \sum_c 2i\mathcal{F}_{aaa}i\mathcal{F}_{ccc}G^{ac}|M^a||M^c| \left( \delta_{cb} - G_{cb} \frac{i\mathcal{F}_{bbbb}\delta^b}{i\mathcal{F}_{bbb}i\mathcal{F}_{ccc}|M^c|} \right). \quad (\text{C.8})$$

In these equations, no indices are implicitly summed over.

In order to analyze the loss of perturbative stability, we compute the determinant of the Hessian. Since the eigenvalues remain real, in order to go from a stable solution to an unstable one, an eigenvalue should pass through zero. We therefore analyze where the determinant is equal to zero. Up to possible constant factors, the determinant is given by

$$(\text{Det } G^{ab})^2 \left( \prod_c \frac{|M^c|}{i\mathcal{F}_{ccc}} \right)^4 \text{Det} \left( \delta_{cb} + G_{cb} \frac{\mathcal{F}_{bbbb}\delta^b}{i\mathcal{F}_{bbb}\mathcal{F}_{ccc}|M^c|} \right) \text{Det} \left( \delta_{cb} - G_{cb} \frac{\mathcal{F}_{bbbb}\delta^b}{i\mathcal{F}_{bbb}\mathcal{F}_{ccc}|M^c|} \right) \quad (\text{C.9})$$

and so in order to vanish, one of the last two determinants must go to zero.

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